

Fund Legal Name	Ticker	Provider Company Name	Provider Company Id	Provider Company EIN	Provider Company Address Line1	Provider Company Address Line2	City	State	Zip	Transfer Agent Company Name	Transfer Agent Company Id	Transfer Agent Company EIN	Transfer Agent Company Address Line1	Transfer Agent Company Address Line2	City	State	Zip	Registration Company Name	Registration Company Id	Registration Company EIN	Registration Company Address Line1	Registration Company Address Line2	City	State	Zip		
Dreyfus Worldwide Growth A	DFRDX	Dreyfus	0C00001YQJ	135673135	Dreyfus	200 Park Avenue	New York	NY	10166	Dreyfus Transfer Inc	0C00001MYP	135673135						Dreyfus Premier Worldwide Growth Fund	0C00001ICP	133712071	C/O Dreyfus Fund	2200 Park Ave	New York	NY	10166		
Dreyfus/Newton International Equity A	NIEX	Dreyfus	0C00001YQJ	135673135	Dreyfus	200 Park Avenue	New York	NY	10166	Dreyfus Transfer Inc	0C00001MYP	135673135						Dreyfus Investment Funds	0C00001IBL	043106135	2200 Park Avenue	New York	NY	10166			
Dreyfus/Standish Fixed-Income I	SDFIX	Dreyfus	0C00001YQJ	135673135	Dreyfus	200 Park Avenue	New York	NY	10166	Dreyfus Transfer Inc	0C00001MYP	135673135						Dreyfus Investment Funds	0C00001IBL	043106135	2200 Park Avenue	New York	NY	10166			
Dreyfus/Standish Global Fixed Income A	DHGAX	Dreyfus	0C00001YQJ	135673135	Dreyfus	200 Park Avenue	New York	NY	10166	Dreyfus Transfer Inc	0C00001MYP	135673135						Dreyfus Investment Funds	0C00001IBL	043106135	2200 Park Avenue	New York	NY	10166			
Dreyfus/The Boston Co Sm Cap Val	STISVX	Dreyfus	0C00001YQJ	135673135	Dreyfus	200 Park Avenue	New York	NY	10166	Dreyfus Transfer Inc	0C00001MYP	135673135						Dreyfus Investment Funds	0C00001IBL	043106135	2200 Park Avenue	New York	NY	10166			
Dreyfus/The Boston Co Sm Mid Cap Gr A	DBMAX	Dreyfus	0C00001YQJ	135673135	Dreyfus	200 Park Avenue	New York	NY	10166	Dreyfus Transfer Inc	0C00001MYP	135673135						Dreyfus Investment Funds	0C00001IBL	043106135	2200 Park Avenue	New York	NY	10166			
DWS Balanced S	KTRSX	DWS Investments	0C000033GS	133241232	DWS Investments	210 West 10th Street	Kansas City	MO	64105	DWS Investments Service Company	0C000034N2	020432775	DWS Investments Service Company	210 W. 10th Street	Kansas City	Missouri	64105	DWS Balanced Fund	0C00001IDU	366103490	3345 Park Avenue		New York	NY	10154-0004		
DWS Core Fixed Income A	SFXAX	DWS Investments	0C000033GS	133241232	DWS Investments	210 West 10th Street	Kansas City	MO	64105	DWS Investments Service Company	0C000034N2	020432775	DWS Investments Service Company	210 W. 10th Street	Kansas City	Missouri	64105	DWS Investments Trust	0C00001IED	133241232	3345 Park Avenue		New York	NY	10154-0004		
DWS Core Fixed Income B	SFBXB	DWS Investments	0C000033GS	133241232	DWS Investments	210 West 10th Street	Kansas City	MO	64105	DWS Investments Service Company	0C000034N2	020432775	DWS Investments Service Company	210 W. 10th Street	Kansas City	Missouri	64105	DWS Investments Trust	0C00001IED	133241232	3345 Park Avenue		New York	NY	10154-0004		
DWS Core Fixed Income C	SFXCX	DWS Investments	0C000033GS	133241232	DWS Investments	210 West 10th Street	Kansas City	MO	64105	DWS Investments Service Company	0C000034N2	020432775	DWS Investments Service Company	210 W. 10th Street	Kansas City	Missouri	64105	DWS Investments Trust	0C00001IED	133241232	3345 Park Avenue		New York	NY	10154-0004		
DWS Core Fixed Income Inst	MFNIX	DWS Investments	0C000033GS	133241232	DWS Investments	210 West 10th Street	Kansas City	MO	64105	DWS Investments Service Company	0C000034N2	020432775	DWS Investments Service Company	210 W. 10th Street	Kansas City	Missouri	64105	DWS Investments Trust	0C00001IED	133241232	3345 Park Avenue		New York	NY	10154-0004		
DWS Core Plus Income S	SCSBX	DWS Investments	0C000033GS	133241232	DWS Investments	210 West 10th Street	Kansas City	MO	64105	DWS Investments Service Company	0C000034N2	020432775	DWS Investments Service Company	210 W. 10th Street	Kansas City	Missouri	64105	DWS Portfolio Trust	0C00001IEM	046013018	3345 Park Avenue		New York	NY	10154-0004		
DWS Dreman Small Cap Value A	KDSAX	DWS Investments	0C000033GS	133241232	DWS Investments	210 West 10th Street	Kansas City	MO	64105	DWS Investments Service Company	0C000034N2	020432775	DWS Investments Service Company	210 W. 10th Street	Kansas City	Missouri	64105	DWS Value Series, Inc.	0C00001IF5	133241232	3345 Park Avenue		New York	NY	10154-0004		
DWS Dreman Small Cap Value Inst	KDSIX	DWS Investments	0C000033GS	133241232	DWS Investments	210 West 10th Street	Kansas City	MO	64105	DWS Investments Service Company	0C000034N2	020432775	DWS Investments Service Company	210 W. 10th Street	Kansas City	Missouri	64105	DWS Value Series, Inc.	0C00001IF5	133241232	3345 Park Avenue		New York	NY	10154-0004		
DWS Emerging Markets Equity S	SEMGX	DWS Investments	0C000033GS	133241232	DWS Investments	210 West 10th Street	Kansas City	MO	64105	DWS Investments Service Company	0C000034N2	020432775	DWS Investments Service Company	210 W. 10th Street	Kansas City	Missouri	64105	DWS International Fund, Inc.	0C00001IEA	132827803	3345 Park Avenue		New York	NY	10154-0004		
DWS Emerging Markets Fixed Income A	SZEAX	DWS Investments	0C000033GS	133241232	DWS Investments	210 West 10th Street	Kansas City	MO	64105	DWS Investments Service Company	0C000034N2	020432775	DWS Investments Service Company	210 W. 10th Street	Kansas City	Missouri	64105	DWS Global/International Fund, Inc.	0C00001IE4	133241232	3345 Park Avenue		New York	NY	10154-0004		
DWS Equity 500 Index S	BTIEEX	DWS Investments	0C000033GS	133241232	DWS Investments	210 West 10th Street	Kansas City	MO	64105	DWS Investments Service Company	0C000034N2	020432775	DWS Investments Service Company	210 W. 10th Street	Kansas City	Missouri	64105	DWS Institutional Funds	0C00001IE8	133241232	3345 Park Avenue		New York	NY	10154-0004		
DWS Europe Equity S	SCGEX	DWS Investments	0C000033GS	133241232	DWS Investments	210 West 10th Street	Kansas City	MO	64105	DWS Investments Service Company	0C000034N2	020432775	DWS Investments Service Company	210 W. 10th Street	Kansas City	Missouri	64105	DWS International Fund, Inc.	0C00001IEA	132827803	3345 Park Avenue		New York	NY	10154-0004		
DWS Global Thematic S	SCOBX	DWS Investments	0C000033GS	133241232	DWS Investments	210 West 10th Street	Kansas City	MO	64105	DWS Investments Service Company	0C000034N2	020432775	DWS Investments Service Company	210 W. 10th Street	Kansas City	Missouri	64105	DWS Global/International Fund, Inc.	0C00001IE4	133241232	3345 Park Avenue		New York	NY	10154-0004		
DWS GNMA S	SGINX	DWS Investments	0C000033GS	133241232	DWS Investments	210 West 10th Street	Kansas City	MO	64105	DWS Investments Service Company	0C000034N2	020432775	DWS Investments Service Company	210 W. 10th Street	Kansas City	Missouri	64105	DWS Income Trust	0C00001IE7	133241232	3345 Park Avenue		New York	NY	10154-0004		
DWS Gold & Precious Metals A	SGDAX	DWS Investments	0C000033GS	133241232	DWS Investments	210 West 10th Street	Kansas City	MO	64105	DST Systems, Inc.	0C00000B13	431581814						DWS Mutual Funds, Inc.	0C00001IEL	133241232	3345 Park Avenue		New York	NY	10154-0004		
DWS Government & Agency Money	DTGXX	DWS Investments	0C000033GS	133241232	DWS Investments	210 West 10th Street	Kansas City	MO	64105	DST Systems, Inc.	0C00000B13	431581814						Cash Account Trust	0C00001I3I				New York	NY	10154-0004		
DWS Growth & Income S	SCDGX	DWS Investments	0C000033GS	133241232	DWS Investments	210 West 10th Street	Kansas City	MO	64105	DWS Investments Service Company	0C000034N2	020432775	DWS Investments Service Company	210 W. 10th Street	Kansas City	Missouri	64105	DWS Investment Trust	0C00001IEC	042212654	3345 Park Avenue		New York	NY	10154-0004		
DWS Health Care A	SUHAX	DWS Investments	0C000033GS	133241232	DWS Investments	210 West 10th Street	Kansas City	MO	64105	DWS Investments Service Company	0C000034N2	020432775	DWS Investments Service Company	210 W. 10th Street	Kansas City	Missouri	64105	DWS Securities Trust	0C00001IEQ	132661231	3345 Park Avenue		New York	NY	10154-0004		
DWS High Income Plus S	SGHSX	DWS Investments	0C000033GS	133241232	DWS Investments	210 West 10th Street	Kansas City	MO	64105	DWS Investments Service Company	0C000034N2	020432775	DWS Investments Service Company	210 W. 10th Street	Kansas City	Missouri	64105	DWS Investments Trust	0C00001IED	133241232	3345 Park Avenue		New York	NY	10154-0004		
DWS International S	SCINX	DWS Investments	0C000033GS	133241232	DWS Investments	210 West 10th Street	Kansas City	MO	64105	DWS Investments Service Company	0C000034N2	020432775	DWS Investments Service Company	210 W. 10th Street	Kansas City	Missouri	64105	DWS International Fund, Inc.	0C00001IEA	132827803	3345 Park Avenue		New York	NY	10154-0004		
DWS Large Cap Focus Growth S	SCQGX	DWS Investments	0C000033GS	133241232	DWS Investments	210 West 10th Street	Kansas City	MO	64105	DWS Investments Service Company	0C000034N2	020432775	DWS Investments Service Company	210 W. 10th Street	Kansas City	Missouri	64105	DWS Investment Trust	0C00001IEC	042212654	3345 Park Avenue		New York	NY	10154-0004		
DWS Large Cap Value S	KDCSX	DWS Investments	0C000033GS	133241232	DWS Investments	210 West 10th Street	Kansas City	MO	64105	DWS Investments Service Company	0C000034N2	020432775	DWS Investments Service Company	210 W. 10th Street	Kansas City	Missouri	64105	DWS Value Series, Inc.	0C00001IF5	133241232	3345 Park Avenue		New York	NY	10154-0004		
DWS Lifecycle Long Range S	BTILX	DWS Investments	0C000033GS	133241232	DWS Investments	210 West 10th Street	Kansas City	MO	64105	DST Systems, Inc.	0C00000B13	431581814						DWS Advisor Funds III	0C00001IDT				New York	NY	10154-0004		
DWS RREEF Real Estate Securities A	RRRAR	DWS Investments	0C000033GS	133241232	DWS Investments	210 West 10th Street	Kansas City	MO	64105	DWS Investments Service Company	0C000034N2	020432775	DWS Investments Service Company	210 W. 10th Street	Kansas City	Missouri	64105	DWS Rreef Securities Trust	0C000023AZ				Deutsche Asset Management	3345 Park Avenue	New York	NY	10154-0004
DWS RREEF Real Estate Securities Inst	RRRRX	DWS Investments	0C000033GS	133241232	DWS Investments	210 West 10th Street	Kansas City	MO	64105	DWS Investments Service Company	0C000034N2	020432775	DWS Investments Service Company	210 W. 10th Street	Kansas City	Missouri	64105	DWS Rreef Securities Trust	0C000023AZ						New York	NY	10154-0004
DWS Small Cap Growth S	SSDSX	DWS Investments	0C000033GS	133241232	DWS Investments	210 West 10th Street	Kansas City	MO	64105	DWS Investments Service Company	0C000034N2	020432775	DWS Investments Service Company	210 W. 10th Street	Kansas City	Missouri	64105	DWS Advisor Funds	0C00001IDR	133241232	3345 Park Avenue		New York	NY	10154-0004		
DWS Technology A	KTCAX	DWS Investments	0C000033GS	133241232	DWS Investments	210 West 10th Street	Kansas City	MO	64105	DWS Investments Service Company	0C000034N2	020432775	DWS Investments Service Company	210 W. 10th Street	Kansas City	Missouri	64105	DWS Technology Fund	0C00001IF1	366051878	3345 Park Avenue		New York	NY	10154-0004		
E.I.I. Global Property Institutional	EIGGX	E.I.I.	0C00001YQJ	131620003	E.I.I.	717 Fifth Avenue	New York	NY	10022	Pnc Global Investmtr Svcs (u.s.) Inc.	0C00001TKR	042871943	Pnc Global Investmtr Svcs (u.s.) Inc.	King Of Prussia PA	19406			Elli Realty Securities Trust	0C00001K6U	133162003	717 7th Avenue	110th Floor	New York	NY	10022		
Eagle Capital Appreciation F	HRCFX	Eagle Funds	0C00001Y2Z	592385219	Eagle Funds	880 Carillon Parkway	St. Petersburg	FL	33716	Eagle Fund Services	0C00001V06	591517485	Eagle Fund Services					Eagle Capital Appreciation Fund	0C00001IFA	592385219	8880 Carillon Parkway		St Petersburg	FL	33716		
Eagle Growth & Income A	ELVAX	Eagle Funds	0C00001Y2Z	592385219	Eagle Funds	880 Carillon Parkway	St. Petersburg	FL	33716	Eagle Fund Services	0C00001V06	591517485	Eagle Fund Services					Eagle Growth & Income Fund	0C00001FUC	596810530	8880 Carillon Parkway		St Petersburg	FL	33716		
Eagle Mid Cap Stock A	HMCAX	Eagle Funds	0C00001Y2Z	592385219	Eagle Funds	880 Carillon Parkway	St. Petersburg	FL	33716	Eagle Fund Services	0C00001V06	591517485	Eagle Fund Services					Eagle Series Trust	0C00001IFE	592385219	8880 Carillon Parkway		St Petersburg	FL	33716		
Eagle Mid Cap Stock R3	HMRRX	Eagle Funds	0C00001Y2Z	592385219	Eagle Funds	880 Carillon Parkway	St. Petersburg	FL	33716	Eagle Fund Services	0C00001V06	591517485	Eagle Fund Services					Eagle Series Trust	0C00001IFE	592385219	8880 Carillon Parkway		St Petersburg	FL	33716		
Eagle Small Cap Growth A	HRSXCX	Eagle Funds	0C00001Y2Z	592385219	Eagle Funds	880 Carillon Parkway	St. Petersburg	FL	33716	Eagle Fund Services	0C00001V06	591517485	Eagle Fund Services					Eagle Series Trust	0C00001IFE	592385219	8880 Carillon Parkway		St Petersburg	FL	33716		
Earlest Partners Fixed-Income	EPFTX	Brown Funds	0C00001Z5Q	521304372	Brown Funds	1201 North Calvert Street	Baltimore	MD	21202	Nottingham Shareholder Services, LLC	0C000035O0		116 South Franklin Street,	P.O. Box 4365	North Carolina			Nottingham Investment Trust II	0C00001J8O	56378571	1116 South Franklin Street	P O Box 69	Rocky Mount	NC	27802		
Eaton Vance Atlanta Capital Focused Gr A	EAALX	Eaton Vance	0C00001YGS	042718215	Eaton Vance	Two International Place	Boston	MA	02110	Pnc Global Investmtr Svcs (u.s.) Inc.	0C00001TKR	042871943	Pnc Global Investmtr Svcs (u.s.) Inc.	King Of Prussia PA	19406			Eaton Vance Growth Trust	0C00001IFM	042325690	The Eaton Vance Building	2255 State Street	Boston	MA	02109		
Eaton Vance Atlanta Capital SMID-Cap A	EAASX	Eaton Vance	0C00001YGS	042718215	Eaton Vance	Two International Place	Boston	MA	02110	Pnc Global Investmtr Svcs (u.s.) Inc.	0C00001TKR	042871943	Pnc Global Investmtr Svcs (u.s.) Inc.	King Of Prussia PA	19406			Eaton Vance Growth Trust	0C00001IFM	042325690	The Eaton Vance Building	2255 State Street	Boston	MA	02109		
Eaton Vance Dividend Builder F	EVDMX	Eaton Vance	0C00001YGS	042718215	Eaton Vance	Two International Place	Boston	MA	02110	Pnc Global Investmtr Svcs (u.s.) Inc.	0C00001TKR	042871943	Pnc Global Investmtr Svcs (u.s.) Inc.	King Of Prussia PA	19406			Eaton Vance Special Investment Trust	0C								

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Franklin Small Cap Growth Adv	FSSAX	Franklin Templeton Investments	0C00004AKN	943167260	Franklin Templeton Investments	3355 Data Drive	Rancho Cordova	CA	95670	Franklin Templeton Investor Svcs LLC	0C000034SC	943167260	3344 Quality Dr		Rancho Cordova	CA	95670	Franklin Strategic Series	0C00001INF	133151768	One Franklin Parkway		San Mateo	California	94403-1906
Franklin Small Cap Value A	FRVLX	Franklin Templeton Investments	0C00004AKN	943167260	Franklin Templeton Investments	3355 Data Drive	Rancho Cordova	CA	95670	Franklin Templeton Investor Svcs LLC	0C000034SC	943167260	3344 Quality Dr		Rancho Cordova	CA	95670	Franklin Value Investors Trust	0C00001INF	133151768	One Franklin Parkway		San Mateo	California	94403-1906
Franklin Small Cap Value Adv	FVADX	Franklin Templeton Investments	0C00004AKN	943167260	Franklin Templeton Investments	3355 Data Drive	Rancho Cordova	CA	95670	Franklin Templeton Investor Svcs LLC	0C000034SC	943167260	3344 Quality Dr		Rancho Cordova	CA	95670	Franklin Value Investors Trust	0C00001INF	133151768	One Franklin Parkway		San Mateo	California	94403-1906
Franklin Small Cap Value R	FVFRX	Franklin Templeton Investments	0C00004AKN	943167260	Franklin Templeton Investments	3355 Data Drive	Rancho Cordova	CA	95670	Franklin Templeton Investor Svcs LLC	0C000034SC	943167260	3344 Quality Dr		Rancho Cordova	CA	95670	Franklin Value Investors Trust	0C00001INF	133151768	One Franklin Parkway		San Mateo	California	94403-1906
Franklin Small-Mid Cap Growth A	FRSGX	Franklin Templeton Investments	0C00004AKN	943167260	Franklin Templeton Investments	3355 Data Drive	Rancho Cordova	CA	95670	Franklin Templeton Investor Svcs LLC	0C000034SC	943167260	3344 Quality Dr		Rancho Cordova	CA	95670	Franklin Strategic Series	0C00001INF	133151768	One Franklin Parkway		San Mateo	California	94403-1906
Franklin Small-Mid Cap Growth R	FRMRX	Franklin Templeton Investments	0C00004AKN	943167260	Franklin Templeton Investments	3355 Data Drive	Rancho Cordova	CA	95670	Franklin Templeton Investor Svcs LLC	0C000034SC	943167260	3344 Quality Dr		Rancho Cordova	CA	95670	Franklin Strategic Series	0C00001INF	133151768	One Franklin Parkway		San Mateo	California	94403-1906
Franklin Templeton Cnsvr Allocation A	FTCTX	Franklin Templeton Investments	0C00004AKN	943167260	Franklin Templeton Investments	3355 Data Drive	Rancho Cordova	CA	95670	Franklin Templeton Investor Svcs LLC	0C000034SC	943167260	3344 Quality Dr		Rancho Cordova	CA	95670	Franklin Templeton Fund Allocator Series	0C00001INI	133151768	One Franklin Parkway		San Mateo	California	94403-1906
Franklin Templeton Growth Allocation A	FGTIX	Franklin Templeton Investments	0C00004AKN	943167260	Franklin Templeton Investments	3355 Data Drive	Rancho Cordova	CA	95670	Franklin Templeton Investor Svcs LLC	0C000034SC	943167260	3344 Quality Dr		Rancho Cordova	CA	95670	Franklin Templeton Fund Allocator Series	0C00001INI	133151768	One Franklin Parkway		San Mateo	California	94403-1906
Franklin Templeton Hard Currency A	ICPHX	Franklin Templeton Investments	0C00004AKN	943167260	Franklin Templeton Investments	3355 Data Drive	Rancho Cordova	CA	95670	Franklin Templeton Investor Svcs LLC	0C000034SC	943167260	3344 Quality Dr		Rancho Cordova	CA	95670	Franklin Templeton Global Trust	0C00001INJ	133151768	One Franklin Parkway		San Mateo	California	94403-1906
Franklin Templeton Moderate Alloc A	FMTEX	Franklin Templeton Investments	0C00004AKN	943167260	Franklin Templeton Investments	3355 Data Drive	Rancho Cordova	CA	95670	Franklin Templeton Investor Svcs LLC	0C000034SC	943167260	3344 Quality Dr		Rancho Cordova	CA	95670	Franklin Templeton Fund Allocator Series	0C00001INI	133151768	One Franklin Parkway		San Mateo	California	94403-1906
Franklin Total Return A	FKBAX	Franklin Templeton Investments	0C00004AKN	943167260	Franklin Templeton Investments	3355 Data Drive	Rancho Cordova	CA	95670	Franklin Templeton Investor Svcs LLC	0C000034SC	943167260	3344 Quality Dr		Rancho Cordova	CA	95670	Franklin Investors Securities Trust	0C00001INA	133151768	One Franklin Parkway		San Mateo	California	94403-1906
Franklin US Government Secs A	FKUSX	Franklin Templeton Investments	0C00004AKN	943167260	Franklin Templeton Investments	3355 Data Drive	Rancho Cordova	CA	95670	Franklin Templeton Investor Svcs LLC	0C000034SC	943167260	3344 Quality Dr		Rancho Cordova	CA	95670	Franklin Custodian Funds	0C00001IMX	132573775	One Franklin Parkway		San Mateo	California	94403-1906
Franklin Utilities A	FKUTX	Franklin Templeton Investments	0C00004AKN	943167260	Franklin Templeton Investments	3355 Data Drive	Rancho Cordova	CA	95670	Franklin Templeton Investor Svcs LLC	0C000034SC	943167260	3344 Quality Dr		Rancho Cordova	CA	95670	Franklin Custodian Funds	0C00001IMX	132573775	One Franklin Parkway		San Mateo	California	94403-1906
Franklin Utilities R	FRURX	Franklin Templeton Investments	0C00004AKN	943167260	Franklin Templeton Investments	3355 Data Drive	Rancho Cordova	CA	95670	Franklin Templeton Investor Svcs LLC	0C000034SC	943167260	3344 Quality Dr		Rancho Cordova	CA	95670	Franklin Custodian Funds	0C00001IMX	132573775	One Franklin Parkway		San Mateo	California	94403-1906
Gabelli ABC AAA	GABCX	Gabelli	0C00002JPL	133340139	Gabelli	1 Corporate Center	Rye	NY	10580	State Street Bank & Trust CO MA	0C00003407	041867445	State Street Bank & Trust Company, N.A.	225 Franklin Street	Boston	MA	02111	Gabelli Investor Funds Inc	0C00001IO5	132885006	One Corporate Center		Rye	New York	10580-1434
Gabelli Asset AAA	GABAX	Gabelli	0C00002JPL	133340139	Gabelli	1 Corporate Center	Rye	NY	10580	State Street Bank & Trust CO MA	0C00003407	041867445	State Street Bank & Trust Company, N.A.	225 Franklin Street	Boston	MA	02111	Gabelli Asset Fund	0C00001IN2	133331485	One Corporate Center		Rye	New York	10580
Gabelli Equity Income AAA	GABEX	Gabelli	0C00002JPL	133340139	Gabelli	1 Corporate Center	Rye	NY	10580	State Street Bank & Trust CO MA	0C00003407	041867445	State Street Bank & Trust Company, N.A.	225 Franklin Street	Boston	MA	02111	Gabelli Equity Series Funds Inc	0C00001IO2	132885006	One Corporate Center		Rye	New York	10580
Gabelli Small Cap Growth A	GCASX	Gabelli	0C00002JPL	133340139	Gabelli	1 Corporate Center	Rye	NY	10580	State Street Bank & Trust CO MA	0C00003407	041867445	State Street Bank & Trust Company, N.A.	225 Franklin Street	Boston	MA	02111	Gabelli Equity Series Funds Inc	0C00001IO2	132885006	One Corporate Center		Rye	New York	10580
Gabelli Small Cap Growth AAA	GABGX	Gabelli	0C00002JPL	133340139	Gabelli	1 Corporate Center	Rye	NY	10580	State Street Bank & Trust CO MA	0C00003407	041867445	State Street Bank & Trust Company, N.A.	225 Franklin Street	Boston	MA	02111	Gabelli Equity Series Funds Inc	0C00001IO2	132885006	One Corporate Center		Rye	New York	10580
Gabelli Value A	GABVX	Gabelli	0C00002JPL	133340139	Gabelli	1 Corporate Center	Rye	NY	10580	State Street Bank & Trust CO MA	0C00003407	041867445	State Street Bank & Trust Company, N.A.	225 Franklin Street	Boston	MA	02111	Gabelli Value Fund Inc	0C00001IO9	061283268	One Corporate Center		Rye	New York	10580
GAMCO Global Growth AAA	GICPX	Gabelli	0C00002JPL	133340139	Gabelli	1 Corporate Center	Rye	NY	10580	State Street Bank & Trust CO MA	0C00003407	041867445	State Street Bank & Trust Company, N.A.	225 Franklin Street	Boston	MA	02111	Gamco Global Series Funds, Inc	0C00001IOG	013295124	One Corporate Center		Rye	New York	10580
GAMCO Global Telecommunications AAA	GABTX	Gabelli	0C00002JPL	133340139	Gabelli	1 Corporate Center	Rye	NY	10580	State Street Bank & Trust CO MA	0C00003407	041867445	State Street Bank & Trust Company, N.A.	225 Franklin Street	Boston	MA	02111	Gamco Global Series Funds, Inc	0C00001IOG	013295124	One Corporate Center		Rye	New York	10580
GAMCO Gold AAA	GOLDX	Gabelli	0C00002JPL	133340139	Gabelli	1 Corporate Center	Rye	NY	10580	State Street Bank & Trust CO MA	0C00003407	041867445	State Street Bank & Trust Company, N.A.	225 Franklin Street	Boston	MA	02111	Gamco Gold Fund, Inc	0C00001IOH	133770370	One Corporate Center		Rye	New York	10580
GAMCO Growth AAA	GABGX	Gabelli	0C00002JPL	133340139	Gabelli	1 Corporate Center	Rye	NY	10580	State Street Bank & Trust CO MA	0C00003407	041867445	State Street Bank & Trust Company, N.A.	225 Franklin Street	Boston	MA	02111	Gamco Growth Fund	0C00001IOI	133391404	One Corporate Center		Rye	New York	10580-1434
GAMCO International Growth AAA	GIGRX	Gabelli	0C00002JPL	133340139	Gabelli	1 Corporate Center	Rye	NY	10580	State Street Bank & Trust CO MA	0C00003407	041867445	State Street Bank & Trust Company, N.A.	225 Franklin Street	Boston	MA	02111	Gamco International Growth Fund, Inc	0C00001IOJ	013295124	One Corporate Center		Rye	New York	10580
GAMCO Westwood Balanced A	WEBXCX	Gabelli	0C00002JPL	133340139	Gabelli	1 Corporate Center	Rye	NY	10580	Boston Financial Data Services, Inc	0C00003408	042526037	Boston Financial Data Services	P.O. Box 8480	Boston	MA	04800	Westwood Funds	0C00001KAY	133625130	One Corporate Center		Rye	New York	10580
GAMCO Westwood Equity A	WEEXCX	Gabelli	0C00002JPL	133340139	Gabelli	1 Corporate Center	Rye	NY	10580	State Street Bank & Trust CO MA	0C00003407	041867445	State Street Bank & Trust Company, N.A.	225 Franklin Street	Boston	MA	02111	Westwood Funds	0C00001KAY	133625130	One Corporate Center		Rye	New York	10580
Gateway A	GATGX	Native Funds	0C00001Z3K	061238674	Native Funds	PO Box 219579	Kansas City	MO	64105	Boston Financial Data Services, Inc	0C00003408	042526037	P.O. Box 8514	2 Heritage Drive	Boston	MA	02111	Native Funds Trust II	0C00001J6X	041998962	3300 Baylton Street	112th Floor	Boston	Massachusetts	02111
GE Fixed Income A	GEFIX	GE Asset Management	0C00001Z3K	061238674	GE Asset Management	P.O. Box 9838	Providence	RI	02940	PNC Global Investment Svcs (u.s.) Inc.	0C00001TKR	042871943	PNC Global Investment Svcs (u.s.) Inc.	King Of Prussia	PA	19406	GE Funds	0C00001IOO	061357778	33001 Summer Street		Stamford	Connecticut	06905	
GE Fixed Income B	GEFBX	GE Asset Management	0C00001Z3K	061238674	GE Asset Management	P.O. Box 9838	Providence	RI	02940	PNC Global Investment Svcs (u.s.) Inc.	0C00001TKR	042871943	PNC Global Investment Svcs (u.s.) Inc.	King Of Prussia	PA	19406	GE Funds	0C00001IOO	061357778	33001 Summer Street		Stamford	Connecticut	06905	
GE Fixed Income C	GEFCX	GE Asset Management	0C00001Z3K	061238674	GE Asset Management	P.O. Box 9838	Providence	RI	02940	PNC Global Investment Svcs (u.s.) Inc.	0C00001TKR	042871943	PNC Global Investment Svcs (u.s.) Inc.	King Of Prussia	PA	19406	GE Funds	0C00001IOO	061357778	33001 Summer Street		Stamford	Connecticut	06905	
GE Fixed Income R	GEFRX	GE Asset Management	0C00001Z3K	061238674	GE Asset Management	P.O. Box 9838	Providence	RI	02940	PNC Global Investment Svcs (u.s.) Inc.	0C00001TKR	042871943	PNC Global Investment Svcs (u.s.) Inc.	King Of Prussia	PA	19406	GE Funds	0C00001IOO	061357778	33001 Summer Street		Stamford	Connecticut	06905	
GE Fixed Income Y	GEFYX	GE Asset Management	0C00001Z3K	061238674	GE Asset Management	P.O. Box 9838	Providence	RI	02940	PNC Global Investment Svcs (u.s.) Inc.	0C00001TKR	042871943	PNC Global Investment Svcs (u.s.) Inc.	King Of Prussia	PA	19406	GE Funds	0C00001IOO	061357778	33001 Summer Street		Stamford	Connecticut	06905	
GE Inst Core Value Equity Inv	GEIVX	GE Asset Management	0C00001Z3K	061238674	GE Asset Management	P.O. Box 9838	Providence	RI	02940	PNC Global Investment Servicing (U.S.)	0C000034MJ	042871943	PNC Global Investment Servicing (U.S.)	301 Bellevue Parkway	Wilmington	Delaware	19809	GE Institutional Funds	0C00001IOP	061495734	33001 Summer Street		Stamford	Connecticut	06905
GE Inst International Equity Inv	GEIEX	GE Asset Management	0C00001Z3K	061238674	GE Asset Management	P.O. Box 9838	Providence	RI	02940	PNC Global Investment Servicing (U.S.)	0C000034MJ	042871943	PNC Global Investment Servicing (U.S.)	301 Bellevue Parkway	Wilmington	Delaware	19809	GE Institutional Funds	0C00001IOP	061495734	33001 Summer Street		Stamford	Connecticut	06905
GE Inst US Equity Inv	GUSIX	GE Asset Management	0C00001Z3K	061238674	GE Asset Management	P.O. Box 9838	Providence	RI	02940	PNC Global Investment Servicing (U.S.)	0C000034MJ	042871943	PNC Global Investment Servicing (U.S.)	301 Bellevue Parkway	Wilmington	Delaware	19809	GE Institutional Funds	0C00001IOP	061495734	33001 Summer Street		Stamford	Connecticut	06905
George Putnam of Boston A	PGEQX	Putnam	0C00002K0D	36488942	Putnam	P.O. Box 8383	Boston	MA	02246	Putnam Investor Services Inc	0C00001QSZ	042539562	Putnam Investor Services	P.O. Box 41203	Providence	RI	02903	George Putnam Fund of Boston	0C00001IP5	046013677	One Post Office Sq	Mailstop A14	Boston	Massachusetts	2109
Goldman Sachs Absolute Return Tracker A	GARTX	Goldman Sachs	0C00001YRF	135108880	Goldman Sachs	71 S. Wacker Dr.	Chicago	IL	60606	Goldman, Sachs & Co.	0C00001EHJ	135108880	Goldman Sachs & CO /Ita	71 S Wacker Drive Ste 500	Chicago	IL	60606	Goldman Sachs Trust	0C00001K74	135108880	44900 Sears Twr		Chicago	Illinois	60606
Goldman Sachs Balanced Strat #	GIPAX	Goldman Sachs	0C00001YRF	135108880	Goldman Sachs	71 S. Wacker Dr.	Chicago	IL	60606	Goldman, Sachs & Co.	0C00001EHJ	135108880	Goldman Sachs & CO /Ita	71 S Wacker Drive Ste 500	Chicago	IL	60606	Goldman Sachs Trust	0C00001K74	135108880	44900 Sears Twr		Chicago	Illinois	60606
Goldman Sachs BRIC A	GBRAX	Goldman Sachs	0C00001YRF	135108880	Goldman Sachs	71 S. Wacker Dr.	Chicago	IL	60606	DST Systems, Inc.	0C00000B13	431581814	Goldman Sachs & CO /Ita	71 S Wacker Drive Ste 500	Chicago	IL	60606	Goldman Sachs Trust	0C00001K74	135108880	44900 Sears Twr		Chicago	Illinois	60606
Goldman Sachs Capital Growth A	GCASX	Goldman Sachs	0C00001YRF	135108880	Goldman Sachs	71 S. Wacker Dr.	Chicago	IL	60606	Goldman, Sachs & Co.	0C00001EHJ	135108880	Goldman Sachs & CO /Ita	71 S Wacker Drive Ste 500	Chicago	IL	60606	Goldman Sachs Trust	0C00001K74	135108880	44900 Sears Twr		Chicago	Illinois	60606
Goldman Sachs Concentrated Intl Eq A	GSIFX	Goldman Sachs	0C00001YRF	135108880	Goldman Sachs	71 S. Wacker Dr.	Chicago	IL	60606																

Fund Legal Name	Ticker	Provider Company Name	Provider Company ID	Provider Company EIN	Provider Company Address Line1	Provider Company Address Line2	City	State	Zip	Transfer Agent Company Name	Transfer Agent Company ID	Transfer Agent Company EIN	Transfer Agent Company Address Line1	Transfer Agent Company Address Line2	City	State	Zip	Registration Company Name	Registration Company ID	Registration Company EIN	Registration Company Address Line1	Registration Company Address Line2	City	State	Zip	
Hartford MidCap HLS IA	HIMCX	Hartford Mutual Funds	0C00001YUY	133317783	Hartford Mutual Funds	Hartford Plaza, 690 Asylum Avenue	Hartford	CT	06115	Hartford Administrative Services Co	0C00001O6E	410679409	Hartford Administrative Services Co.	500 Bielenberg Drive	Woodbury	MI	55125	Hartford Series Fund Inc	0C00001IQZ	222937447	P O Box 2999		Hartford	Connecticut	06104-2999	
Hartford Small Company HLS IA	HIASX	Hartford Mutual Funds	0C00001YUY	133317783	Hartford Mutual Funds	Hartford Plaza, 690 Asylum Avenue	Hartford	CT	06115	Hartford Administrative Services Co	0C00001O6E	410679409	Hartford Administrative Services Co.	500 Bielenberg Drive	Woodbury	MI	55125	Hartford Series Fund Inc	0C00001IQZ	222937447	P O Box 2999		Hartford	Connecticut	06104-2999	
Hartford Small Company HLS IB	HDMBX	Hartford Mutual Funds	0C00001YUY	133317783	Hartford Mutual Funds	Hartford Plaza, 690 Asylum Avenue	Hartford	CT	06115	Hartford Administrative Services Co	0C00001O6E	410679409	Hartford Administrative Services Co.	500 Bielenberg Drive	Woodbury	MI	55125	Hartford Series Fund Inc	0C00001IQZ	222937447	P O Box 2999		Hartford	Connecticut	06104-2999	
Hartford Total Return Bond HLS IA	HIABX	Hartford Mutual Funds	0C00001YUY	133317783	Hartford Mutual Funds	Hartford Plaza, 690 Asylum Avenue	Hartford	CT	06115	Hartford Administrative Services Co	0C00001O6E	410679409	Hartford Administrative Services Co.	500 Bielenberg Drive	Woodbury	MI	55125	Hartford Series Fund Inc	0C00001IQZ	222937447	P O Box 2999		Hartford	Connecticut	06104-2999	
Heartland Select Value	HRSVX	Heartland	0C00001YXL	391572323	Heartland	1290 Broadway, Suite 1100	Denver	CO	80203	Alps Fund Services, Inc.	0C00001KMC	203247785	1290 Broadway	Suite 1100	Denver	CO	80203	Heartland Group Inc	0C00001K76	391572323	7789 N. Water St		Milwaukee	Wisconsin	53202	
Heartland Value	HRSLX	Heartland	0C00001YXL	391572323	Heartland	1290 Broadway, Suite 1100	Denver	CO	80203	Alps Fund Services, Inc.	0C00001KMC	203247785	1290 Broadway	Suite 1100	Denver	CO	80203	Heartland Group Inc	0C00001K76	391572323	7789 N. Water St		Milwaukee	Wisconsin	53202	
Henderson Global Equity Income 7	HFOAX	Henderson Global	0C00004AKW	061217855	Henderson Global	737 N. Michigan Ave., Suite 1700	Chicago	IL	60611	Boston Financial Data Service, Inc.	0C000034NE	042526037	2 Heritage Drive	Suite 1100	Boston	MA	02171	Henderson Global Investors	0C00001IR4	061217855	7737 North Michigan Avenue	Suite 1950	Chicago	Illinois	60611	
Henderson Global Technology Acc	HFGAX	Henderson Global	0C00004AKW	061217855	Henderson Global	737 N. Michigan Ave., Suite 1700	Chicago	IL	60611	Boston Financial Data Service, Inc.	0C000034NE	042526037	P. O. Box 8514	2 Heritage Drive	Boston	MA	02171	Henderson Global Investors	0C00001IR4	061217855	7737 North Michigan Avenue	Suite 1950	Chicago	Illinois	60611	
Henderson Intl Opportunities A	HFOAX	Henderson Global	0C00004AKW	061217855	Henderson Global	737 N. Michigan Ave., Suite 1700	Chicago	IL	60611	Boston Financial Data Service, Inc.	0C000034NE	042526037	P. O. Box 8514	2 Heritage Drive	Boston	MA	02171	Henderson Global Investors	0C00001IR4	061217855	7737 North Michigan Avenue	Suite 1950	Chicago	Illinois	60611	
Hensler Equity	HEQFX	Hensler Funds	0C00001YWE	582382617	Hensler Funds	3735 Chenokee Street	Kennesaw	GA	30144	Alps Fund Services, Inc.	0C00001KMC	203247785	1290 Broadway	Suite 1100	Denver	CO	80203	Hensler Funds Inc	0C00001IR8	11281 Kemestore Circle	Suite 100	Marietta	Georgia	30060		
HighBridge Statistical Mkt Neutral Sel	HSKXJ	J.P. Morgan	0C00001YRR	132624428	J.P. Morgan	245 Park Avenue	New York	NY	10167	Boston Financial Data Service, Inc.	0C000034NE	042526037	2 Heritage Drive	Boston	MA	02171	Jermoran Trust I	0C00001IVC	331043149	C/o Jermoran Distribution Services, Inc.	11111 Ploaris Parkway, Ste. 2		Columbus	Ohio	33240	
HighMark Large Cap Value Fid	HIMEX	HighMark	0C00001YRJ	311211022	HighMark	P. O. Box 89089	Camp Hill	PA	17089	Boston Financial Data Service, Inc.	0C000034NE	042526037	P. O. Box 8514	2 Heritage Drive	Boston	MA	02171	HighMark Funds	0C00001IRM	311211022	4445 South Figueroa Street	Third Floor	Los Angeles	California	90071	
HighMark Small Cap Advantage Retail C	HSCAX	HighMark	0C00001YRJ	311211022	HighMark	P. O. Box 89089	Camp Hill	PA	17089	Boston Financial Data Service, Inc.	0C000034NE	042526037	P. O. Box 8514	2 Heritage Drive	Boston	MA	02171	HighMark Funds	0C00001IRM	311211022	4445 South Figueroa Street	Third Floor	Los Angeles	California	90071	
Hotchkis and Wiley Diversified Value A	HCWAX	Hotchkis and Wiley	0C00002H6T	095349264	Hotchkis & Wiley	725 South Figueroa Suite 400	Los Angeles	CA	90017	US Bancorp Fund Services, LLC	0C00001SA9	390281280	U.s. Bancorp Fund Services, LLC		Milwaukee	WI	53202	Hotchkis & Wiley Funds	0C00001IRS	954871957	7725 South Figueroa Street	339th Floor	Los Angeles	California	90071-5439	
Hotchkis and Wiley Diversified Value I	HCWIX	Hotchkis and Wiley	0C00002H6T	095349264	Hotchkis & Wiley	725 South Figueroa Suite 400	Los Angeles	CA	90017	US Bancorp Fund Services, LLC	0C00001SA9	390281280	U.s. Bancorp Fund Services, LLC		Milwaukee	WI	53202	Hotchkis & Wiley Funds	0C00001IRS	954871957	7725 South Figueroa Street	339th Floor	Los Angeles	California	90071-5439	
Hotchkis and Wiley Large Cap Value A	HWLAX	Hotchkis and Wiley	0C00002H6T	095349264	Hotchkis & Wiley	725 South Figueroa Suite 400	Los Angeles	CA	90017	US Bancorp Fund Services, LLC	0C00001SA9	390281280	U.s. Bancorp Fund Services, LLC		Milwaukee	WI	53202	Hotchkis & Wiley Funds	0C00001IRS	954871957	7725 South Figueroa Street	339th Floor	Los Angeles	California	90071-5439	
Hotchkis and Wiley Large Cap Value I	HWLIX	Hotchkis and Wiley	0C00002H6T	095349264	Hotchkis & Wiley	725 South Figueroa Suite 400	Los Angeles	CA	90017	US Bancorp Fund Services, LLC	0C00001SA9	390281280	U.s. Bancorp Fund Services, LLC		Milwaukee	WI	53202	Hotchkis & Wiley Funds	0C00001IRS	954871957	7725 South Figueroa Street	339th Floor	Los Angeles	California	90071-5439	
Hotchkis and Wiley Mid-Cap Value A	HWMAX	Hotchkis and Wiley	0C00002H6T	095349264	Hotchkis & Wiley	725 South Figueroa Suite 400	Los Angeles	CA	90017	US Bancorp Fund Services, LLC	0C00001SA9	390281280	U.s. Bancorp Fund Services, LLC		Milwaukee	WI	53202	Hotchkis & Wiley Funds	0C00001IRS	954871957	7725 South Figueroa Street	339th Floor	Los Angeles	California	90071-5439	
Hotchkis and Wiley Mid-Cap Value I	HWMIK	Hotchkis and Wiley	0C00002H6T	095349264	Hotchkis & Wiley	725 South Figueroa Suite 400	Los Angeles	CA	90017	US Bancorp Fund Services, LLC	0C00001SA9	390281280	U.s. Bancorp Fund Services, LLC		Milwaukee	WI	53202	Hotchkis & Wiley Funds	0C00001IRS	954871957	7725 South Figueroa Street	339th Floor	Los Angeles	California	90071-5439	
Huntington Fixed-Income Securities A	HFIX	Huntington	0C00001YWP	043023766	Huntington	2960 N. Meridian St., Suite 300	Indianapolis	IN	46208	Unified Fund Services IncA	0C00001SDG	351788663	2960 N. Meridian Street	Suite 300	Indianapolis	IN	46208	Huntington Funds	0C00001IRX	043023766	5880 Corporate Drive		Pittsburgh	Pennsylvania	15237-7010	
Huntington Fixed-Income Securities B	HFIBX	Huntington	0C00001YWP	043023766	Huntington	2960 N. Meridian St., Suite 300	Indianapolis	IN	46208	Unified Fund Services IncA	0C00001SDG	351788663	2960 N. Meridian Street	Suite 300	Indianapolis	IN	46208	Huntington Funds	0C00001IRX	043023766	5880 Corporate Drive		Pittsburgh	Pennsylvania	15237-7010	
Huntington Fixed-Income Securities Trust	HFISX	Huntington	0C00001YWP	043023766	Huntington	2960 N. Meridian St., Suite 300	Indianapolis	IN	46208	Unified Fund Services IncA	0C00001SDG	351788663	2960 N. Meridian Street	Suite 300	Indianapolis	IN	46208	Huntington Funds	0C00001IRX	043023766	5880 Corporate Drive		Pittsburgh	Pennsylvania	15237-7010	
ING Alternative Beta A	IBABX	ING Funds	0C00001Y13	954516049	ING Funds	7337 E. Doubletree Ranch Road	Scottsdale	AZ	85258	PNC Global Investment Servicing (U.S.) Inc.	0C000034MJ	042871943	PNC Global Investment Servicing (U.S.) Inc.	301 Bellevue Parkway	Wilmington	Delaware	19809	ING Series Fund Inc	0C00001ISZ		ING Funds Services		77337 E. Doubletree Ranch Road	Scottsdale	Arizona	85258
ING Amer Cent Sm-Mid Cap Value Adv	ISASX	ING Retirement Funds	0C00001Z4D	954516049	ING Retirement Funds	7337 E. Doubletree Ranch Road	Scottsdale	AZ	85258	PNC Global Investment Servicing (U.S.) Inc.	0C00001QLQ	042871943	P. O. Box 9819	Providence				ING Series Fund Inc	0C00001ISZ		ING Funds Services		77337 E. Doubletree Ranch Rd	Scottsdale	Arizona	85258
ING Amer Cent Sm-Mid Cap Value S	ISASX	ING Retirement Funds	0C00001Z4D	954516049	ING Retirement Funds	7337 E. Doubletree Ranch Road	Scottsdale	AZ	85258	PNC Global Investment Servicing (U.S.) Inc.	0C00001QLQ	042871943	P. O. Box 9819	Providence				ING Series Fund Inc	0C00001ISZ		ING Funds Services		77337 E. Doubletree Ranch Rd	Scottsdale	Arizona	85258
ING Amer Cent Sm-Mid Cap Value S2	ISMSX	ING Retirement Funds	0C00001Z4D	954516049	ING Retirement Funds	7337 E. Doubletree Ranch Road	Scottsdale	AZ	85258	PNC Global Investment Servicing (U.S.) Inc.	0C00001QLQ	042871943	P. O. Box 9819	Providence				ING Series Fund Inc	0C00001ISZ		ING Funds Services		77337 E. Doubletree Ranch Rd	Scottsdale	Arizona	85258
ING American Funds Asset Allocation	ISALL	ING Retirement Funds	0C00001Z4D	954516049	ING Retirement Funds	7337 E. Doubletree Ranch Road	Scottsdale	AZ	85258	PNC Global Investment Servicing (U.S.) Inc.	0C00001QLQ	042871943	P. O. Box 9819	Providence				ING Investors Trust	0C00001ISR		ING Investors Trust		77337 E. Doubletree Ranch Road	Scottsdale	Arizona	85258
ING American Funds Bond	IBAPX	ING Retirement Funds	0C00001Z4D	954516049	ING Retirement Funds	7337 E. Doubletree Ranch Road	Scottsdale	AZ	85258	PNC Global Investment Servicing (U.S.) Inc.	0C00001QLQ	042871943	P. O. Box 9819	Providence				ING Investors Trust	0C00001ISR		ING Investors Trust		77337 E. Doubletree Ranch Road	Scottsdale	Arizona	85258
ING American Funds Growth	IAFSX	ING Retirement Funds	0C00001Z4D	954516049	ING Retirement Funds	7337 E. Doubletree Ranch Road	Scottsdale	AZ	85258	PNC Global Investment Servicing (U.S.) Inc.	0C00001QLQ	042871943	P. O. Box 9819	Providence				ING Investors Trust	0C00001ISR		ING Investors Trust		77337 E. Doubletree Ranch Road	Scottsdale	Arizona	85258
ING American Funds Growth-Income	IAFGX	ING Retirement Funds	0C00001Z4D	954516049	ING Retirement Funds	7337 E. Doubletree Ranch Road	Scottsdale	AZ	85258	PNC Global Investment Servicing (U.S.) Inc.	0C00001QLQ	042871943	P. O. Box 9819	Providence				ING Investors Trust	0C00001ISR		ING Investors Trust		77337 E. Doubletree Ranch Road	Scottsdale	Arizona	85258
ING American Funds International	IAISX	ING Retirement Funds	0C00001Z4D	954516049	ING Retirement Funds	7337 E. Doubletree Ranch Road	Scottsdale	AZ	85258	PNC Global Investment Servicing (U.S.) Inc.	0C00001QLQ	042871943	P. O. Box 9819	Providence				ING Investors Trust	0C00001ISR		ING Investors Trust		77337 E. Doubletree Ranch Road	Scottsdale	Arizona	85258
ING American Funds World All Alc	IAWAX	ING Retirement Funds	0C00001Z4D	954516049	ING Retirement Funds	7337 E. Doubletree Ranch Road	Scottsdale	AZ	85258	PNC Global Investment Servicing (U.S.) Inc.	0C00001QLQ	042871943	P. O. Box 9819	Providence				ING Investors Trust	0C00001ISR		ING Investors Trust		77337 E. Doubletree Ranch Road	Scottsdale	Arizona	85258
ING Arlio Foreign Adv	UFAX	ING Retirement Funds	0C00001Z4D	954516049	ING Retirement Funds	7337 E. Doubletree Ranch Road	Scottsdale	AZ	85258	Pnc Global Investmtr Svrcng (u.s.) Inc.	0C00001TKR	042871943	Pnc Global Investmtr Svrcng (u.s.) Inc.		King Of Prussia	PA	19406	ING Investors Trust	0C00001ISR		ING Investors Trust		77337 E. Doubletree Ranch Road	Scottsdale	Arizona	85258
ING Arlio Foreign I	UBPX	ING Retirement Funds	0C00001Z4D	954516049	ING Retirement Funds	7337 E. Doubletree Ranch Road	Scottsdale	AZ	85258	Pnc Global Investmtr Svrcng (u.s.) Inc.	0C00001TKR	042871943	Pnc Global Investmtr Svrcng (u.s.) Inc.		King Of Prussia	PA	19406	ING Investors Trust	0C00001ISR		ING Investors Trust		77337 E. Doubletree Ranch Road	Scottsdale	Arizona	85258
ING Arlio Foreign S	UBSX	ING Retirement Funds	0C00001Z4D	954516049	ING Retirement Funds	7337 E. Doubletree Ranch Road	Scottsdale	AZ	85258	Pnc Global Investmtr Svrcng (u.s.) Inc.	0C00001TKR	042871943	Pnc Global Investmtr Svrcng (u.s.) Inc.		King Of Prussia	PA	19406	ING Investors Trust	0C00001ISR		ING Investors Trust		77337 E. Doubletree Ranch Road	Scottsdale	Arizona	85258
ING Arlio Foreign S2	UBTX	ING Retirement Funds	0C00001Z4D	954516049	ING Retirement Funds	7337 E. Doubletree Ranch Road	Scottsdale	AZ	85258	Pnc Global Investmtr Svrcng (u.s.) Inc.	0C00001TKR	042871943	Pnc Global Investmtr Svrcng (u.s.) Inc.		King Of Prussia	PA	19406	ING Investors Trust	0C00001ISR		ING Investors Trust		77337 E. Doubletree Ranch Road	Scottsdale	Arizona	85258
ING Balanced A	AETAX	ING Funds	0C00001Y13	954516049	ING Funds	7337 E. Doubletree Ranch Road	Scottsdale	AZ	85258	PNC Global Investment Servicing (U.S.) Inc.	0C000034MJ	042871943	PNC Global Investment Servicing (U.S.) Inc.	301 Bellevue Parkway	Wilmington	Delaware	19809	ING Series Fund Inc	0C00001ISZ		ING Funds Services		77337 E. Doubletree Ranch Road	Scottsdale	Arizona	85258
ING Balanced I	AETFX	ING Funds	0C00001Y13	954516049	ING Funds	7337 E. Doubletree Ranch Road	Scottsdale	AZ	85258	PNC Global Investment Servicing (U.S.) Inc.	0C000034MJ	042871943	PNC Global Investment Servicing (U.S.) Inc.	301 Bellevue Parkway	Wilmington	Delaware	19809	ING Series Fund Inc	0C00001ISZ		ING Funds Services		77337 E. Doubletree Ranch Road	Scottsdale	Arizona	85258
ING Balanced Port I	IBPIX	ING Retirement Funds	0C00001Z4D	954516049	ING Retirement Funds	7337 E. Doubletree Ranch Road	Scottsdale	AZ	85258	PNC Global Investment Servicing (U.S.) Inc.	0C00001QLQ	042871943	P. O. Box 9819	Providence				ING Series Fund Inc	0C00001ISZ		ING Funds Services		77337 E. Doubletree Ranch Road	Scottsdale	Arizona	85258
ING Balanced Port S	IBPSX	ING Retirement Funds	0C00001Z4D	954516049	ING Retirement Funds	7337 E. Doubletree Ranch Road	Scottsdale	AZ	85258	PNC Global Investment Servicing (U.S.) Inc.	0C00001QLQ	042871943	P. O. Box 9819	Providence				ING Series Fund Inc	0C00001ISZ		ING Funds Services		77337 E. Doubletree Ranch Road	Scottsdale	Arizona	85258
ING Baron Asset Adv	IBAAAX	ING Retirement Funds	0C00001Z4D	954516049	ING Retirement Funds	7337 E. Doubletree Ranch Road	Scottsdale	AZ	85258	PNC Global Investment Servicing (

Fund Legal Name	Ticker	Provider Company Name	Provider Company Id	Provider Company EIN	Provider Company Address Line1	Provider Company Address Line2	City	State	Zip	Transfer Agent Company Name	Transfer Agent Company Id	Transfer Agent Company EIN	Transfer Agent Company Address Line1	Transfer Agent Company Address Line2	City	State	Zip	Registration Company Name	Registration Company Id	Registration Company EIN	Registration Company Address Line1	Registration Company Address Line2	City	State	Zip
Invesco US Small Cap Value Y	IFSCX	Invesco AIM	0C0000364K	760528004	Invesco AIM	11 Greenway Plaza, Ste. 100	Houston	TX	77046	JP Morgan Investor Services CoA	0C000010VZ	222382028	11 Greenway Plaza, Ste. 100	Houston	TX	77046	AIM Investment Funds	0C00001HRB	760343427	111 Greenway Plaza, Suite 100	Houston	Texas	77046		
Invesco Value	WIFAX	Invesco AIM	0C0000364K	760528004	Invesco AIM	11 Greenway Plaza, Ste. 100	Houston	TX	77046	JP Morgan Investor Services CoA	0C000034N5	222382028	11 Greenway Plaza, Ste. 100	Houston	TX	77046	AIM Investment Funds	0C00001HRB	760343427	111 Greenway Plaza, Suite 100	Houston	Texas	77046		
Invesco Van Kampen American Value A	MSAVX	Invesco AIM	0C0000364K	760528004	Invesco AIM	11 Greenway Plaza, Ste. 100	Houston	TX	77046	Van Kampen Investor Services Inc	0C00001SGX		PO Box 219286	Kansas City	Missouri	66201	AIM Investment Funds	0C00001HRB	760343427	111 Greenway Plaza, Suite 100	Houston	Texas	77046		
Invesco Van Kampen American Value F	MSARX	Invesco AIM	0C0000364K	760528004	Invesco AIM	11 Greenway Plaza, Ste. 100	Houston	TX	77046	Van Kampen Investor Services Inc	0C00001SGX		PO Box 219286	Kansas City	Missouri	66201	AIM Investment Funds	0C00001HRB	760343427	111 Greenway Plaza, Suite 100	Houston	Texas	77046		
Invesco Van Kampen Capital Growth A	ACPAFX	Invesco AIM	0C0000364K	760528004	Invesco AIM	11 Greenway Plaza, Ste. 100	Houston	TX	77046	Van Kampen Investor Services Inc	0C00001SGX		PO Box 219286	Kansas City	Missouri	66201	AIM Investment Funds	0C00001HRB	760343427	111 Greenway Plaza, Suite 100	Houston	Texas	77046		
Invesco Van Kampen Capital Growth F	ACRDX	Invesco AIM	0C0000364K	760528004	Invesco AIM	11 Greenway Plaza, Ste. 100	Houston	TX	77046	Van Kampen Investor Services Inc	0C00001SGX		PO Box 219286	Kansas City	Missouri	66201	AIM Investment Funds	0C00001HRB	760343427	111 Greenway Plaza, Suite 100	Houston	Texas	77046		
Invesco Van Kampen Comstock Y	ACSRX	Invesco AIM	0C0000364K	760528004	Invesco AIM	11 Greenway Plaza, Ste. 100	Houston	TX	77046	Van Kampen Investor Services Inc	0C00001SGX		PO Box 219286	Kansas City	Missouri	66201	AIM Investment Funds	0C00001HRB	760343427	111 Greenway Plaza, Suite 100	Houston	Texas	77046		
Invesco Van Kampen Comstock Y	ACSDX	Invesco AIM	0C0000364K	760528004	Invesco AIM	11 Greenway Plaza, Ste. 100	Houston	TX	77046	Van Kampen Investor Services Inc	0C00001SGX		PO Box 219286	Kansas City	Missouri	66201	AIM Investment Funds	0C00001HRB	760343427	111 Greenway Plaza, Suite 100	Houston	Texas	77046		
Invesco Van Kampen Emerging Markets A	MSRAX	Invesco AIM	0C0000364K	760528004	Invesco AIM	11 Greenway Plaza, Ste. 100	Houston	TX	77046	Van Kampen Investor Services Inc	0C00001SGX		PO Box 219286	Kansas City	Missouri	66201	AIM Investment Funds	0C00001HRB	760343427	111 Greenway Plaza, Suite 100	Houston	Texas	77046		
Invesco Van Kampen Enterprise F	ACENX	Invesco AIM	0C0000364K	760528004	Invesco AIM	11 Greenway Plaza, Ste. 100	Houston	TX	77046	Van Kampen Investor Services Inc	0C00001SGX		PO Box 219286	Kansas City	Missouri	66201	AIM Investment Funds	0C00001HRB	760343427	111 Greenway Plaza, Suite 100	Houston	Texas	77046		
Invesco Van Kampen Equity and Income A	ACEIX	Invesco AIM	0C0000364K	760528004	Invesco AIM	11 Greenway Plaza, Ste. 100	Houston	TX	77046	Van Kampen Investor Services Inc	0C00001SGX		PO Box 219286	Kansas City	Missouri	66201	AIM Investment Funds	0C00001HRB	760343427	111 Greenway Plaza, Suite 100	Houston	Texas	77046		
Invesco Van Kampen Equity and Income R	ACESX	Invesco AIM	0C0000364K	760528004	Invesco AIM	11 Greenway Plaza, Ste. 100	Houston	TX	77046	Van Kampen Investor Services Inc	0C00001SGX		PO Box 219286	Kansas City	Missouri	66201	AIM Investment Funds	0C00001HRB	760343427	111 Greenway Plaza, Suite 100	Houston	Texas	77046		
Invesco Van Kampen Equity and Income Y	ACETX	Invesco AIM	0C0000364K	760528004	Invesco AIM	11 Greenway Plaza, Ste. 100	Houston	TX	77046	Van Kampen Investor Services Inc	0C00001SGX		PO Box 219286	Kansas City	Missouri	66201	AIM Investment Funds	0C00001HRB	760343427	111 Greenway Plaza, Suite 100	Houston	Texas	77046		
Invesco Van Kampen Global Equity Alloc F	MSCGX	Invesco AIM	0C0000364K	760528004	Invesco AIM	11 Greenway Plaza, Ste. 100	Houston	TX	77046	Van Kampen Investor Services Inc	0C00001SGX		PO Box 219286	Kansas City	Missouri	66201	AIM Investment Funds	0C00001HRB	760343427	111 Greenway Plaza, Suite 100	Houston	Texas	77046		
Invesco Van Kampen Global Equity Alloc F	WGFAX	Invesco AIM	0C0000364K	760528004	Invesco AIM	11 Greenway Plaza, Ste. 100	Houston	TX	77046	Van Kampen Investor Services Inc	0C00001SGX		PO Box 219286	Kansas City	Missouri	66201	AIM Investment Funds	0C00001HRB	760343427	111 Greenway Plaza, Suite 100	Houston	Texas	77046		
Invesco Van Kampen Government Sec F	ACVFX	Invesco AIM	0C0000364K	760528004	Invesco AIM	11 Greenway Plaza, Ste. 100	Houston	TX	77046	Van Kampen Investor Services Inc	0C000034N5	980557567	2800 Post Oak Blvd	Houston	TX	77056	AIM Investment Funds	0C00001HRB	760343427	111 Greenway Plaza, Suite 100	Houston	Texas	77046		
Invesco Van Kampen Growth and Income A	ACGIX	Invesco AIM	0C0000364K	760528004	Invesco AIM	11 Greenway Plaza, Ste. 100	Houston	TX	77046	Van Kampen Investor Services Inc	0C00001SGX		PO Box 219286	Kansas City	Missouri	66201	AIM Investment Funds	0C00001HRB	760343427	111 Greenway Plaza, Suite 100	Houston	Texas	77046		
Invesco Van Kampen Growth and Income R	ACGLX	Invesco AIM	0C0000364K	760528004	Invesco AIM	11 Greenway Plaza, Ste. 100	Houston	TX	77046	Van Kampen Investor Services Inc	0C00001SGX		PO Box 219286	Kansas City	Missouri	66201	AIM Investment Funds	0C00001HRB	760343427	111 Greenway Plaza, Suite 100	Houston	Texas	77046		
Invesco Van Kampen Growth and Income Y	ACGMX	Invesco AIM	0C0000364K	760528004	Invesco AIM	11 Greenway Plaza, Ste. 100	Houston	TX	77046	Van Kampen Investor Services Inc	0C00001SGX		PO Box 219286	Kansas City	Missouri	66201	AIM Investment Funds	0C00001HRB	760343427	111 Greenway Plaza, Suite 100	Houston	Texas	77046		
Invesco Van Kampen Intl Gr A	WIFAX	Invesco AIM	0C0000364K	760528004	Invesco AIM	11 Greenway Plaza, Ste. 100	Houston	TX	77046	Van Kampen Investor Services Inc	0C000034N5	980557567	2800 Post Oak Blvd	Houston	TX	77056	AIM Investment Funds	0C00001HRB	760343427	111 Greenway Plaza, Suite 100	Houston	Texas	77046		
Invesco Van Kampen Intl Gr R	VIFRX	Invesco AIM	0C0000364K	760528004	Invesco AIM	11 Greenway Plaza, Ste. 100	Houston	TX	77046	Van Kampen Investor Services Inc	0C000034N5	980557567	2800 Post Oak Blvd	Houston	TX	77056	AIM Investment Funds	0C00001HRB	760343427	111 Greenway Plaza, Suite 100	Houston	Texas	77046		
Invesco Van Kampen Mid Cap Gr A	VGRAX	Invesco AIM	0C0000364K	760528004	Invesco AIM	11 Greenway Plaza, Ste. 100	Houston	TX	77046	Van Kampen Investor Services Inc	0C00001SGX		PO Box 219286	Kansas City	Missouri	66201	AIM Investment Funds	0C00001HRB	760343427	111 Greenway Plaza, Suite 100	Houston	Texas	77046		
Invesco Van Kampen Mid Cap Gr R	ACREX	Invesco AIM	0C0000364K	760528004	Invesco AIM	11 Greenway Plaza, Ste. 100	Houston	TX	77046	Van Kampen Investor Services Inc	0C00001SGX		PO Box 219286	Kansas City	Missouri	66201	AIM Investment Funds	0C00001HRB	760343427	111 Greenway Plaza, Suite 100	Houston	Texas	77046		
Invesco Van Kampen Real Est Sec A	WASDX	Invesco AIM	0C0000364K	760528004	Invesco AIM	11 Greenway Plaza, Ste. 100	Houston	TX	77046	Van Kampen Investor Services Inc	0C00001SGX		PO Box 219286	Kansas City	Missouri	66201	AIM Investment Funds	0C00001HRB	760343427	111 Greenway Plaza, Suite 100	Houston	Texas	77046		
Invesco Van Kampen Real Est Sec R	WASDX	Invesco AIM	0C0000364K	760528004	Invesco AIM	11 Greenway Plaza, Ste. 100	Houston	TX	77046	Van Kampen Investor Services Inc	0C00001SGX		PO Box 219286	Kansas City	Missouri	66201	AIM Investment Funds	0C00001HRB	760343427	111 Greenway Plaza, Suite 100	Houston	Texas	77046		
Invesco Van Kampen Small Cap Gr A	WASDX	Invesco AIM	0C0000364K	760528004	Invesco AIM	11 Greenway Plaza, Ste. 100	Houston	TX	77046	Van Kampen Investor Services Inc	0C00001SGX		PO Box 219286	Kansas City	Missouri	66201	AIM Investment Funds	0C00001HRB	760343427	111 Greenway Plaza, Suite 100	Houston	Texas	77046		
Invesco Van Kampen Small Cap Gr R	WASDX	Invesco AIM	0C0000364K	760528004	Invesco AIM	11 Greenway Plaza, Ste. 100	Houston	TX	77046	Van Kampen Investor Services Inc	0C00001SGX		PO Box 219286	Kansas City	Missouri	66201	AIM Investment Funds	0C00001HRB	760343427	111 Greenway Plaza, Suite 100	Houston	Texas	77046		
Invesco Van Kampen SmallCap Value F	VCSAX	Invesco AIM	0C0000364K	760528004	Invesco AIM	11 Greenway Plaza, Ste. 100	Houston	TX	77046	Van Kampen Investor Services Inc	0C00001SGX		PO Box 219286	Kansas City	Missouri	66201	AIM Investment Funds	0C00001HRB	760343427	111 Greenway Plaza, Suite 100	Houston	Texas	77046		
Invesco Van Kampen VI Gbl Val Eq Ses	UGEPFX	Invesco AIM	0C0000364K	760528004	Invesco AIM	11 Greenway Plaza, Ste. 100	Houston	TX	77046	Morgan Stanley Services Co Inc	0C00001PVA	133799749	Morgan Stanley Services Company	1221 Avenue of the Americas	New York	New York	10020	AIM Investment Funds	0C00001HRB	760343427	111 Greenway Plaza, Suite 100	Houston	Texas	77046	
iShares Barclays 1-3 Year Treasury Bond	SHY	iShares	0C000042VZ	51039525	BlackRock Asset Management Deutschland AG	Joseph-Str. 6	New York	NY	10022	State Street Bank & Trust Co	0C0000341I		801 Pennsylvania	Kansas City	Missouri	02110	iShares Trust	0C000011TD	943351276	4400 Howard Street	San Francisco	California	94105		
iVA Worldwide A	IVWAX	iVA Funds	0C00001Z56	412253838	International Value Advisers, LLC	645 Madison Avenue, 12th Floor	New York	NY	10022								iVA Fiduciary Trust	0C000021LV	845 Madison Avenue	12th Floor	New York	NY	10022		
Ivy Asset Strategy Y	WASVXY	Ivy Funds	0C000011TZ	046006759	Ivy Funds	66300 Lamar Avenue, P. O. Box 29217	Overland Park	KS	66202	Waddell & Reed Services Company	0C00001SU3	431244426	6300 Lamar Avenue	P. O. Box 29217	Shawnee Mission	KA	66201	Ivy Funds Inc	0C000011UO	481112076	66300 Lamar Ave	Overland Park	Kansas	66202	
Ivy Global Natural Resources F	IGNAX	Ivy Funds	0C000011TZ	046006759	Ivy Funds	66300 Lamar Avenue, P. O. Box 29217	Overland Park	KS	66202	Waddell & Reed Services Company	0C00001SU3	431244426	6300 Lamar Avenue	P. O. Box 29217	Shawnee Mission	KA	66201	Ivy Funds Inc	0C000011UO	481112076	66300 Lamar Ave	Overland Park	Kansas	66202	
Ivy Global Natural Resources Y	IGNAX	Ivy Funds	0C000011TZ	046006759	Ivy Funds	66300 Lamar Avenue, P. O. Box 29217	Overland Park	KS	66202	Waddell & Reed Services Company	0C00001SU3	431244426	6300 Lamar Avenue	P. O. Box 29217	Shawnee Mission	KA	66201	Ivy Funds Inc	0C000011UO	481112076	66300 Lamar Ave	Overland Park	Kansas	66202	
Ivy Large Cap Growth A	WLGAX	Ivy Funds	0C000011TZ	046006759	Ivy Funds	66300 Lamar Avenue, P. O. Box 29217	Overland Park	KS	66202	Waddell & Reed Services Company	0C00001SU3	431244426	6300 Lamar Avenue	P. O. Box 29217	Shawnee Mission	KA	66201	Ivy Funds Inc	0C000011UO	481112076	66300 Lamar Ave	Overland Park	Kansas	66202	
Ivy Mid Cap Growth A	WMCAX	Ivy Funds	0C000011TZ	046006759	Ivy Funds	66300 Lamar Avenue, P. O. Box 29217	Overland Park	KS	66202	Waddell & Reed Services Company	0C00001SU3	431244426	6300 Lamar Avenue	P. O. Box 29217	Shawnee Mission	KA	66201	Ivy Funds Inc	0C000011UO	481112076	66300 Lamar Ave	Overland Park	Kansas	66202	
Ivy Pacific Opportunities A	IPACX	Ivy Funds	0C000011TZ	046006759	Ivy Funds	66300 Lamar Avenue, P. O. Box 29217	Overland Park	KS	66202	Waddell & Reed Services Company	0C00001SU3	431244426	6300 Lamar Avenue	P. O. Box 29217	Shawnee Mission	KA	66201	Ivy Funds Inc	0C000011UO	481112076	66300 Lamar Ave	Overland Park	Kansas	66202	
Ivy Pacific Opportunities F	IPACX	Ivy Funds	0C000011TZ	046006759	Ivy Funds	66300 Lamar Avenue, P. O. Box 29217	Overland Park	KS	66202	Waddell & Reed Services Company	0C00001SU3	431244426	6300 Lamar Avenue	P. O. Box 29217	Shawnee Mission	KA	66201	Ivy Funds Inc	0C000011UO	481112076	66300 Lamar Ave	Overland Park	Kansas	66202	
Ivy Science & Technology A	ISTX	Ivy Funds	0C000011TZ	046006759	Ivy Funds	66300 Lamar Avenue, P. O. Box 29217	Overland Park	KS	66202	Waddell & Reed Services Company	0C00001SU3	431244426	6300 Lamar Avenue	P. O. Box 29217	Shawnee Mission	KA	66201	Ivy Funds Inc	0C000011UO	481112076	66300 Lamar Ave	Overland Park	Kansas	66202	
Ivy Science & Technology Y	WSTVY	Ivy Funds	0C000011TZ	046006759	Ivy Funds	66300 Lamar Avenue, P. O. Box 29217	Overland Park	KS	66202	Waddell & Reed Services Company	0C00001SU3	431244426	6300 Lamar Avenue	P. O. Box 29217	Shawnee Mission	KA	66201	Ivy Funds Inc	0C000011UO	481112076	66300 Lamar Ave	Overland Park	Kansas	66202	
James Small Cap	JASCX	James Advantage	0C00001YWO	310891459	James Advantage	2960 Lamar Avenue, P. O. Box 29217	Indianapolis	IN	46208	Alps Fund Services, Inc.	0C00001KMC	203247785	1290 Broadway	Denver	CO	80203	James Advantage Funds	0C000011U4	841235540	11349 Fairground Rd	Beaver Creek	Ohio	44385		
Janus Aspen Balanced Instl	JAASX	Janus	0C00004AL2	431804048	Janus	151 Detroit Street	Denver	CO	80206	Janus Services LLC	0C00001OTH	431804048	Janus Services LLC	Janus Services LLC	Denver	CO	80206	Janus Services LLC	0C000011U7	841235540	1151 Detroit Street	Denver	Colorado	80206	
Janus Aspen Enterprise Instl	JAEXP	Janus	0C00004AL2	431804048	Janus	151 Detroit Street	Denver	CO	80206	Janus Services LLC	0C00001OTH	431804048	Janus Services LLC	Janus Services LLC	Denver	CO									

Fund Legal Name	Ticker	Provider Company Name	Provider Company Id	Provider Company EIN	Provider Company Address Line1	Provider Company Address Line2	City	State	Zip	Transfer Agent Company Name	Transfer Agent Company Id	Transfer Agent Company EIN	Transfer Agent Company Address Line1	Transfer Agent Company Address Line2	City	State	Zip	Registration Company Name	Registration Company Id	Registration Company EIN	Registration Company Address Line1	Registration Company Address Line2	City	State	Zip	
JP Morgan SmartRetirement 2050 Select	JTSSX	JP Morgan	0C00001YRR	132624428	JP Morgan	245 Park Avenue	New York	NY	10167	Boston Financial Data Service, Inc.	0C000034NE	042526037	P.O. Box 8514	2 Heritage Drive	Boston	MA	02171	JP Morgan Trust I	0C00001IVC	331043149	C/O Jpmorgan Distribution Services, Inc.	11111 Polaris Parkway, Ste. 2-j	Columbus	Ohio	43240	
JP Morgan SmartRetirement Income A	JSRAX	JP Morgan	0C00001YRR	132624428	JP Morgan	245 Park Avenue	New York	NY	10167	Boston Financial Data Service, Inc.	0C000034NE	042526037	P.O. Box 8514	2 Heritage Drive	Boston	MA	02171	JP Morgan Trust I	0C00001IVC	331043149	C/O Jpmorgan Distribution Services, Inc.	11111 Polaris Parkway, Ste. 2-j	Columbus	Ohio	43240	
JP Morgan SmartRetirement Income Select	JRSRX	JP Morgan	0C00001YRR	132624428	JP Morgan	245 Park Avenue	New York	NY	10167	Boston Financial Data Service, Inc.	0C000034NE	042526037	P.O. Box 8514	2 Heritage Drive	Boston	MA	02171	JP Morgan Trust I	0C00001IVC	331043149	C/O Jpmorgan Distribution Services, Inc.	11111 Polaris Parkway, Ste. 2-j	Columbus	Ohio	43240	
JP Morgan Treasury & Agency Sele	OGTFX	JP Morgan	0C00001YRR	132624428	JP Morgan	245 Park Avenue	New York	NY	10167	Boston Financial Data Service, Inc.	0C000034NE	042526037	P.O. Box 8514	2 Heritage Drive	Boston	MA	02171	JP Morgan Asset Management	0C00001IUY	134161079	C/O Jpmorgan Distribution Services, Inc.	11111 Polaris Parkway	Columbus	OH	43240	
JP Morgan US Equity Sel	JUESX	JP Morgan	0C00001YRR	132624428	JP Morgan	245 Park Avenue	New York	NY	10167	Boston Financial Data Service, Inc.	0C000034NE	042526037	P.O. Box 8514	2 Heritage Drive	Boston	MA	02171	JP Morgan Trust I	0C00001IVC	331043149	C/O Jpmorgan Distribution Services, Inc.	11111 Polaris Parkway, Ste. 2-j	Columbus	Ohio	43240	
JP Morgan US Large Cap Core Plus Select	JLPSX	JP Morgan	0C00001YRR	132624428	JP Morgan	245 Park Avenue	New York	NY	10167	Boston Financial Data Service, Inc.	0C000034NE	042526037	P.O. Box 8514	2 Heritage Drive	Boston	MA	02171	JP Morgan Trust I	0C00001IVC	331043149	C/O Jpmorgan Distribution Services, Inc.	11111 Polaris Parkway, Ste. 2-j	Columbus	Ohio	43240	
JP Morgan US Real Estate A	SUSIX	JP Morgan	0C00001YRR	132624428	JP Morgan	245 Park Avenue	New York	NY	10167	Boston Financial Data Service, Inc.	0C000034NE	042526037	P.O. Box 8514	2 Heritage Drive	Boston	MA	02171	JP Morgan Trust II	0C00001K7B	263613641	C/O Jpmorgan Distribution Services, Inc.	11111 Polaris Parkway	Columbus	Ohio	43240	
JP Morgan US Small Company Se	JLWAX	JP Morgan	0C00001YRR	132624428	JP Morgan	245 Park Avenue	New York	NY	10167	Boston Financial Data Service, Inc.	0C000034NE	042526037	P.O. Box 8514	2 Heritage Drive	Boston	MA	02171	JP Morgan Asset Management	0C00001IUY	134161079	C/O Jpmorgan Distribution Services, Inc.	11111 Polaris Parkway	Columbus	OH	43240	
JP Morgan Value Advantage	JVAIX	JP Morgan	0C00001YRR	132624428	JP Morgan	245 Park Avenue	New York	NY	10167	Boston Financial Data Service, Inc.	0C000034NE	042526037	P.O. Box 8514	2 Heritage Drive	Boston	MA	02171	JP Morgan Asset Management	0C00001IUY	134161079	C/O Jpmorgan Distribution Services, Inc.	11111 Polaris Parkway	Columbus	OH	43240	
Kalmar Growth-with-Value Small Cap	KGSCX	Kalmar Pooled Investment Trust	0C00001YRS	510261641	Kalmar Pooled Investment Fund	11300 Market St, Suite 500	Wilmington	DE	19801	Pnc Global Investmtr Svcs (u.s.) Inc.	0C00001TKR	042811943	Pnc Global Investmtr Svcs (u.s.) Inc.	King Of Prussia	PA	19406	Kalmar Pooled Investment Trust	0C00001IVF	510261641	Kalmar Pooled Investment Trust	11300 Market St, Suite 500	Wilmington	DE	19801		
Keelley Small Cap Value F	KSCVX	Keelley	0C00001YRS	363160361	Keelley	401 S. LaSalle Street, Suite 1201	Chicago	IL	60605	US Bancorp Fund Services, LLC	0C00001SA9	390281260	U.S. Bancorp Fund Services, LLC	Milwaukee	WI	53202	Keelley Small Cap Value Fund Inc	0C0000239V	363872373	Keelley Small Cap Value Fund Inc						
Keelley Small Cap Value I	KSCIX	Keelley	0C00001YRS	363160361	Keelley	401 S. LaSalle Street, Suite 1201	Chicago	IL	60605	US Bancorp Fund Services, LLC	0C00001SA9	390281260	U.S. Bancorp Fund Services, LLC	Milwaukee	WI	53202	Keelley Small Cap Value Fund Inc	0C0000239V	363872373	Keelley Small Cap Value Fund Inc						
Laudus Growth Investors US Large Cap Gr	LGLIX	Laudus Funds	0C00001202	943106735	Laudus Funds	401 So. 8032	Boston	MA	02266	Boston Financial Data Services, Inc.	0C00003408	042526037	Boston Financial Data Services	P.O. Box 8480	Boston	MA	02171	Laudus Trust	0C00001IWA	680163788	1101 Montgomery Street		San Francisco	California	94104	
Laudus International MarketMasters Inv	SWOIX	Schwab Funds	0C00001YTL	943106735	Schwab Funds	211 Main Street	San Francisco	CA	94105	Boston Financial Data Service, Inc.	0C000034NE	042526037	P.O. Box 8514	2 Heritage Drive	Boston	MA	02171	Schwab Capital Trust	0C00001J0V	943297102	1101 Montgomery St		San Francisco	California	94104	
Laudus International MarketMasters Se	SWMIK	Schwab Funds	0C00001YTL	943106735	Schwab Funds	211 Main Street	San Francisco	CA	94105	Boston Financial Data Service, Inc.	0C000034NE	042526037	P.O. Box 8514	2 Heritage Drive	Boston	MA	02171	Schwab Capital Trust	0C00001J0V	943297102	1101 Montgomery St		San Francisco	California	94104	
Laudus Rosenber Int'l Small Cap Se	RSIX	Laudus Funds	0C00001202	943106735	Laudus Funds	401 So. 8032	Boston	MA	02266	Boston Financial Data Service, Inc.	0C000034NE	042526037	P.O. Box 8514	2 Heritage Drive	Boston	MA	02171	Laudus Trust	0C00001IWA	680163788	1101 Montgomery Street		San Francisco	California	94104	
Lazard Developing Markets Equity Inst	LDMX	Lazard	0C00002YMM	204571006	Lazard	30 Rockefeller Plaza	New York	NY	10112	Boston Financial Data Service, Inc.	0C000034NE	042526037	P.O. Box 8514	2 Heritage Drive	Boston	MA	02171	Lazard Funds Inc	0C00001IWB	200169148	330 Rockefeller Plaza		New York	New York	10112	
Lazard Emerging Markets Equity Inst	LZEMX	Lazard	0C00002YMM	204571006	Lazard	30 Rockefeller Plaza	New York	NY	10112	Boston Financial Data Service, Inc.	0C000034NE	042526037	P.O. Box 8514	2 Heritage Drive	Boston	MA	02171	Lazard Funds Inc	0C00001IWB	200169148	330 Rockefeller Plaza		New York	New York	10112	
Lazard Emerging Markets Equity Oper	LZOEZ	Lazard	0C00002YMM	204571006	Lazard	30 Rockefeller Plaza	New York	NY	10112	Boston Financial Data Service, Inc.	0C000034NE	042526037	P.O. Box 8514	2 Heritage Drive	Boston	MA	02171	Lazard Funds Inc	0C00001IWB	200169148	330 Rockefeller Plaza		New York	New York	10112	
Lazard Global Total Return and Incm	LZLIX	Lazard	0C00002YMM	204571006	Lazard	30 Rockefeller Plaza	New York	NY	10112	Boston Financial Data Service, Inc.	0C000034NE	042526037	P.O. Box 8514	2 Heritage Drive	Boston	MA	02171	Lazard Global Total Return & Inc Fd Inc	0C00001IWC	200169148	330 Rockefeller Plaza		New York	New York	10112	
Lazard International Equity Oper	LZIOX	Lazard	0C00002YMM	204571006	Lazard	30 Rockefeller Plaza	New York	NY	10112	Boston Financial Data Service, Inc.	0C000034NE	042526037	P.O. Box 8514	2 Heritage Drive	Boston	MA	02171	Lazard Funds Inc	0C00001IWB	200169148	330 Rockefeller Plaza		New York	New York	10112	
Lazard International Small Cap Op Oper	LZSMX	Lazard	0C00002YMM	204571006	Lazard	30 Rockefeller Plaza	New York	NY	10112	Boston Financial Data Service, Inc.	0C000034NE	042526037	P.O. Box 8514	2 Heritage Drive	Boston	MA	02171	Lazard Funds Inc	0C00001IWB	200169148	330 Rockefeller Plaza		New York	New York	10112	
Lazard US Mid Cap Equity Inst	LZMIX	Lazard	0C00002YMM	204571006	Lazard	30 Rockefeller Plaza	New York	NY	10112	Boston Financial Data Service, Inc.	0C000034NE	042526037	P.O. Box 8514	2 Heritage Drive	Boston	MA	02171	Lazard Funds Inc	0C00001IWB	200169148	330 Rockefeller Plaza		New York	New York	10112	
Lazard US Mid Cap Equity Oper	LZMOX	Lazard	0C00002YMM	204571006	Lazard	30 Rockefeller Plaza	New York	NY	10112	Boston Financial Data Service, Inc.	0C000034NE	042526037	P.O. Box 8514	2 Heritage Drive	Boston	MA	02171	Lazard Funds Inc	0C00001IWB	200169148	330 Rockefeller Plaza		New York	New York	10112	
Lazard US Small-Mid Cap Equity Inst	LZSCX	Lazard	0C00002YMM	204571006	Lazard	30 Rockefeller Plaza	New York	NY	10112	Boston Financial Data Service, Inc.	0C000034NE	042526037	P.O. Box 8514	2 Heritage Drive	Boston	MA	02171	Lazard Funds Inc	0C00001IWB	200169148	330 Rockefeller Plaza		New York	New York	10112	
Lazard US Small-Mid Cap Equity Oper	LZCOX	Lazard	0C00002YMM	204571006	Lazard	30 Rockefeller Plaza	New York	NY	10112	Boston Financial Data Service, Inc.	0C000034NE	042526037	P.O. Box 8514	2 Heritage Drive	Boston	MA	02171	Lazard Funds Inc	0C00001IWB	200169148	330 Rockefeller Plaza		New York	New York	10112	
Legg Mason Barrett Financial Services A	SBAFX	Legg Mason/Western	0C00004AL7	52120960	Legg Mason/Western	100 International Drive	Baltimore	MD	21202	Boston Financial Data Service, Inc.	0C000034NE	042526037	P.O. Box 8514	2 Heritage Drive	Boston	MA	02171	Legg Mason Partners Equity Trust	0C00001IWS	521268629	Legg Mason & Co. Llc		555 Water Street, 32nd Floor	New York	New York	10041
Legg Mason BatteryMarch Emerging Mkts C	LMEMX	Legg Mason/Western	0C00004AL7	52120960	Legg Mason/Western	100 International Drive	Baltimore	MD	21202	State Street Bank & Trust Co.	0C00003411		801 Pennsylvania		Kansas City	Missouri	02110	Legg Mason Global Trust Inc	0C00001IWM	521804932	1100 Light Street		Baltimore	Maryland	21202	
Legg Mason BatteryMarch Emerging Mkts FI	LGMFX	Legg Mason/Western	0C00004AL7	52120960	Legg Mason/Western	100 International Drive	Baltimore	MD	21202	State Street Bank & Trust Co.	0C00003411		801 Pennsylvania		Kansas City	Missouri	02110	Legg Mason Global Trust Inc	0C00001IWM	521804932	1100 Light Street		Baltimore	Maryland	21202	
Legg Mason BatteryMarch Global Eq A	GFJFX	Legg Mason/Western	0C00004AL7	52120960	Legg Mason/Western	100 International Drive	Baltimore	MD	21202	Boston Financial Data Service, Inc.	0C000034NE	042526037	P.O. Box 8514	2 Heritage Drive	Boston	MA	02171	Legg Mason Partners Equity Trust	0C00001IWS	521268629	Legg Mason & Co. Llc		555 Water Street, 32nd Floor	Baltimore	New York	10041
Legg Mason BatteryMarch Intl Eq B	LMGEX	Legg Mason/Western	0C00004AL7	52120960	Legg Mason/Western	100 International Drive	Baltimore	MD	21202	State Street Bank & Trust Co.	0C00003411		801 Pennsylvania		Kansas City	Missouri	02110	Legg Mason Global Trust Inc	0C00001IWM	521804932	1100 Light Street		Baltimore	Maryland	21202	
Legg Mason BatteryMarch Intl Eq FI	LMGFX	Legg Mason/Western	0C00004AL7	52120960	Legg Mason/Western	100 International Drive	Baltimore	MD	21202	State Street Bank & Trust Co.	0C00003411		801 Pennsylvania		Kansas City	Missouri	02110	Legg Mason Global Trust Inc	0C00001IWM	521804932	1100 Light Street		Baltimore	Maryland	21202	
Legg Mason BatteryMarch Intl Eq R	LMIRX	Legg Mason/Western	0C00004AL7	52120960	Legg Mason/Western	100 International Drive	Baltimore	MD	21202	State Street Bank & Trust Co.	0C00003411		801 Pennsylvania		Kansas City	Missouri	02110	Legg Mason Global Trust Inc	0C00001IWM	521804932	1100 Light Street		Baltimore	Maryland	21202	
Legg Mason BatteryMarch S&P 500 Index A	SBSPIX	Legg Mason/Western	0C00004AL7	52120960	Legg Mason/Western	100 International Drive	Baltimore	MD	21202	Boston Financial Data Service, Inc.	0C000034NE	042526037	P.O. Box 8514	2 Heritage Drive	Boston	MA	02171	Legg Mason Partners Equity Trust	0C00001IWS	521268629	Legg Mason & Co. Llc		555 Water Street, 32nd Floor	New York	New York	10041
Legg Mason BatteryMarch S&P 500 Index D	SBSDX	Legg Mason/Western	0C00004AL7	52120960	Legg Mason/Western	100 International Drive	Baltimore	MD	21202	Boston Financial Data Service, Inc.	0C000034NE	042526037	P.O. Box 8514	2 Heritage Drive	Boston	MA	02171	Legg Mason Partners Equity Trust	0C00001IWS	521268629	Legg Mason & Co. Llc		555 Water Street, 32nd Floor	New York	New York	10041
Legg Mason BatteryMarch US Sm Cap Eq A	LBMAX	Legg Mason/Western	0C00004AL7	52120960	Legg Mason/Western	100 International Drive	Baltimore	MD	21202	State Street Bank & Trust Co.	0C00003411		801 Pennsylvania		Kansas City	Missouri	02110	Legg Mason Charles Street Trust Inc	0C00001IWL	521268629	1100 Light St 29th Floor		Baltimore	Maryland	21202	
Legg Mason Brandywine Global Opps Bd I	GOBIX	Legg Mason/Western	0C00004AL7	52120960	Legg Mason/Western	100 International Drive	Baltimore	MD	21202	State Street Bank & Trust Co.	0C00003411		801 Pennsylvania		Kansas City	Missouri	02110	Legg Mason Charles Street Trust Inc	0C00001IWL	521268629	1100 Light St 29th Floor		Baltimore	Maryland	21202	
Legg Mason Cap Mgmt Intl Cap A	SLGIX	Legg Mason/Western	0C00004AL7	52120960	Legg Mason/Western	100 International Drive	Baltimore	MD	21202	Boston Financial Data Service, Inc.	0C000034NE	042526037	P.O. Box 8514	2 Heritage Drive	Boston	MA	02171	Legg Mason Partners Equity Trust	0C00001IWS	521268629	Legg Mason & Co. Llc		555 Water Street, 32nd Floor	Baltimore	New York	10041
Legg Mason Cap Mgmt Growth C	LMGTX	Legg Mason/Western	0C00004AL7	52120960	Legg Mason/Western	100 International Drive	Baltimore	MD	21202	Boston Financial Data Service, Inc.	0C000034NE	042526037	P.O. Box 8514	2 Heritage Drive	Boston	MA	02171	Legg Mason Global Trust Inc	0C00001IWM	521804932	1100 Light Street		Baltimore	Maryland	21202	
Legg Mason Cap Mgmt Growth FI	LMGFX	Legg Mason/Western	0C00004AL7	52120960	Legg Mason/Western	100 International Drive	Baltimore	MD	21202	Boston Financial Data Service, Inc.	0C000034NE	042526037	P.O. Box 8514	2 Heritage Drive	Boston	MA	02171	Legg Mason Global Trust Inc	0C00001IWM	521804932	1100 Light Street		Baltimore	Maryland	21202	
Legg Mason Cap Mgmt Opportunity C	LMOPX	Legg Mason/Western	0C00004AL7	52120960	Legg Mason/Western	100 International Drive	Baltimore	MD	21202	State Street Bank & Trust Co.	0C00003411		801 Pennsylvania		Kansas City	Missouri	02110	Legg Mason Investment Trust Inc	0C00001K7C	522203385	1100 Light Street		Baltimore	Maryland	21202	
Legg Mason Cap Mgmt Special Inv C	LMASX	Legg Mason/Western	0C00004AL7	52120960	Legg Mason/Western	100 International Drive	Baltimore	MD	21202	Boston Financial Data Service, Inc.	0C000034NE	042526037	P.O. Box 8514	2 Heritage Drive	Boston											

Fund Legal Name	Ticker	Provider Company Name	Provider Company Id	Provider Company EIN	Provider Company Address Line1	Provider Company Address Line2	City	State	Zip	Transfer Agent Company Name	Transfer Agent Company Id	Transfer Agent Company EIN	Transfer Agent Company Address Line1	Transfer Agent Company Address Line2	City	State	Zip	Registration Company Name	Registration Company Id	Registration Company EIN	Registration Company Address Line1	Registration Company Address Line2	City	State	Zip	
Neuberger Berman Regency Tr	NBRFX	Neuberger Berman	0C00001E12	135521910	Neuberger Berman	605 Third Avenue	New York	NY	10158	State Street Bank & Trust Co.	0C0000341I		801 Pennsylvania	801 Pennsylvania	Kansas City	Missouri	02110	Neuberger Berman Equity Funds	0C00001J7C	136068441	6605 Third Ave 2nd Fl		New York	New York	10158-0006	
Neuberger Berman Short Duration Bd Inv	NSBX	Neuberger Berman	0C00001E12	135521910	Neuberger Berman	605 Third Avenue	New York	NY	10158	State Street Bank & Trust Co.	0C0000341I		801 Pennsylvania	801 Pennsylvania	Kansas City	Missouri	02110	Lehman Brothers Income Funds	0C00001IXG	133179654	6605 Third Ave 2nd Fl		New York	New York	10158-10158	
Neuberger Berman Short Duration Bd Tr	NSBTX	Neuberger Berman	0C00001E12	135521910	Neuberger Berman	605 Third Avenue	New York	NY	10158	State Street Bank & Trust Co.	0C0000341I		801 Pennsylvania	801 Pennsylvania	Kansas City	Missouri	02110	Lehman Brothers Income Funds	0C00001IXG	133179654	6605 Third Ave 2nd Fl		New York	New York	10158-10158	
Neuberger Berman Small Cap Growth Adv	NBMVX	Neuberger Berman	0C00001E12	135521910	Neuberger Berman	605 Third Avenue	New York	NY	10158	State Street Bank & Trust Co.	0C0000341I		801 Pennsylvania	801 Pennsylvania	Kansas City	Missouri	02110	Neuberger Berman Equity Funds	0C00001J7C	136068441	6605 Third Ave 2nd Fl		New York	New York	10158-0006	
Neuberger Berman Small Cap Growth Tr	NBMOX	Neuberger Berman	0C00001E12	135521910	Neuberger Berman	605 Third Avenue	New York	NY	10158	State Street Bank & Trust Co.	0C0000341I		801 Pennsylvania	801 Pennsylvania	Kansas City	Missouri	02110	Neuberger Berman Equity Funds	0C00001J7C	136068441	6605 Third Ave 2nd Fl		New York	New York	10158-0006	
Neuberger Berman Socially Resp Inst	NBSLX	Neuberger Berman	0C00001E12	135521910	Neuberger Berman	605 Third Avenue	New York	NY	10158	State Street Bank & Trust Co.	0C0000341I		801 Pennsylvania	801 Pennsylvania	Kansas City	Missouri	02110	Neuberger Berman Equity Funds	0C00001J7C	136068441	6605 Third Ave 2nd Fl		New York	New York	10158-0006	
Neuberger Berman Socially Resp Inv	NBSRX	Neuberger Berman	0C00001E12	135521910	Neuberger Berman	605 Third Avenue	New York	NY	10158	State Street Bank & Trust Co.	0C0000341I		801 Pennsylvania	801 Pennsylvania	Kansas City	Missouri	02110	Neuberger Berman Equity Funds	0C00001J7C	136068441	6605 Third Ave 2nd Fl		New York	New York	10158-0006	
Neuberger Berman Socially Resp Tr	NBSXTX	Neuberger Berman	0C00001E12	135521910	Neuberger Berman	605 Third Avenue	New York	NY	10158	State Street Bank & Trust Co.	0C0000341I		801 Pennsylvania	801 Pennsylvania	Kansas City	Missouri	02110	Neuberger Berman Equity Funds	0C00001J7C	136068441	6605 Third Ave 2nd Fl		New York	New York	10158-0006	
New Alternatives	NALFX	New Alternatives	0C00001YSK	112542789	New Alternatives	150 Broadhollow Rd., Suite PH 02	Melville	NY	11747	Pnc Global Investmtr Svcs (u.s.) Inc.	0C00001TKR	042871943	Pnc Global Investmtr Svcs (u.s.) Inc.	801 Pennsylvania	Kansas City	Missouri	02110	New Alternatives Fund Inc	0C00001J7J	112542789	1150 Broadhollow Road	Suite PH 02	New York	New York	11747-11747	
New America High-Income	HYB	T. Rowe Price	0C00001Y28	522264846	T. Rowe Price Associates, Inc.	100 East Pratt Street	Baltimore	MD	21202	Deutsche Bank Trust Co AmericasA	0C00001MU2		Deutsche Bank Trust Company America	New York	NY	10005	New America High Income Fund Inc	0C00001JK	042995419	333 Broad Street		Boston	Massachusetts	2109-2109		
Northern Fixed Income	NOFIX	Northern Funds	0C00001J8I	391777365	Northern Trust	550 South LaSalle	Chicago	IL	60603	Northern Trust Co	0C00001Q4T		Northern Trust CO Ita	Chicago	IL	60675	Northern Funds	0C00001J8I	391777365	Northern Trust	550 South LaSalle		Chicago	IL	60603-60603	
Northern Global Fixed Income	NHFX	Northern Funds	0C00001J8I	391777365	Northern Trust	550 South LaSalle	Chicago	IL	60603	Northern Trust Co	0C00001Q4T		Northern Trust CO Ita	Chicago	IL	60675	Northern Funds	0C00001J8I	391777365	Northern Trust	550 South LaSalle		Chicago	IL	60603-60603	
Northern High Yield Fixed Income	NHFX	Northern Funds	0C00001J8I	391777365	Northern Trust	550 South LaSalle	Chicago	IL	60603	Northern Trust Co	0C00001Q4T		Northern Trust CO Ita	Chicago	IL	60675	Northern Funds	0C00001J8I	391777365	Northern Trust	550 South LaSalle		Chicago	IL	60603-60603	
Northern Institutional Government Se	BGSXX	Northern Funds	0C00001J8I	391777365	Northern Trust	550 South LaSalle	Chicago	IL	60603	Northern Trust Co	0C00001Q4T		Northern Trust CO Ita	Chicago	IL	60675	Northern Institutional Funds	0C00001J8J	363209613	Northern Trust	550 South LaSalle		Chicago	IL	60603-60603	
Northern Small Cap Value	NOSEX	Northern Funds	0C00001J8I	391777365	Northern Trust	550 South LaSalle	Chicago	IL	60603	Northern Trust Co	0C00001Q4T		Northern Trust CO Ita	Chicago	IL	60675	Northern Funds	0C00001J8I	391777365	Northern Trust	550 South LaSalle		Chicago	IL	60603-60603	
Northern Ultra-Short Fixed Income	NUSFX	Northern Funds	0C00001J8I	391777365	Northern Trust	550 South LaSalle	Chicago	IL	60603	The Northern Trust Company	0C00001UJ4	361561860	The Northern Trust Company	50 South LaSalle Street	Chicago	Illinois		Northern Funds	0C00001J8I	391777365	Northern Trust	550 South LaSalle		Chicago	IL	60603-60603
Nuveen NW Small-Mid-Cap Value I	QAKM1	Oakmark	0C00001YSR	043276558	Oakmark	P. O. Box 219558	Kansas City	MO	64121	Boston Financial Data Service, Inc.	0C000034NE	042526037	P. O. Box 8514	2 Heritage Drive	Boston	MA	02171	Nuveen Investment Trust	0C00001K7R	363817266	John Nuveen & Co Inc	3333 West Wacker Drive 32nd Floor	Chicago	Illinois	60606-60606	
Oakmark Equity & Income I	QARB1	Oakmark	0C00001YSR	043276558	Oakmark	P. O. Box 219558	Kansas City	MO	64121	Boston Financial Data Service, Inc.	0C000034NE	042526037	P. O. Box 8514	2 Heritage Drive	Boston	MA	02171	Harris Associates Investment Trust	0C0000239S	043276558						
Oakmark Equity & Income II	QARB2	Oakmark	0C00001YSR	043276558	Oakmark	P. O. Box 219558	Kansas City	MO	64121	Boston Financial Data Service, Inc.	0C000034NE	042526037	P. O. Box 8514	2 Heritage Drive	Boston	MA	02171	Harris Associates Investment Trust	0C0000239S	043276558						
Oakmark Global	QAKGX	Oakmark	0C00001YSR	043276558	Oakmark	P. O. Box 219558	Kansas City	MO	64121	Boston Financial Data Service, Inc.	0C000034NE	042526037	P. O. Box 8514	2 Heritage Drive	Boston	MA	02171	Harris Associates Investment Trust	0C0000239S	043276558						
Oakmark International	QAKM2	Oakmark	0C00001YSR	043276558	Oakmark	P. O. Box 219558	Kansas City	MO	64121	Boston Financial Data Service, Inc.	0C000034NE	042526037	P. O. Box 8514	2 Heritage Drive	Boston	MA	02171	Harris Associates Investment Trust	0C0000239S	043276558						
Oakmark International Small Cap I	QAKM3	Oakmark	0C00001YSR	043276558	Oakmark	P. O. Box 219558	Kansas City	MO	64121	Boston Financial Data Service, Inc.	0C000034NE	042526037	P. O. Box 8514	2 Heritage Drive	Boston	MA	02171	Harris Associates Investment Trust	0C0000239S	043276558						
Oakmark Select I	QAKM4	Oakmark	0C00001YSR	043276558	Oakmark	P. O. Box 219558	Kansas City	MO	64121	Boston Financial Data Service, Inc.	0C000034NE	042526037	P. O. Box 8514	2 Heritage Drive	Boston	MA	02171	Harris Associates Investment Trust	0C0000239S	043276558						
Old Mutual Analytic US Long/Short Z	ORDEX	Old Mutual Advisor	0C00001Z20	042714625	Old Mutual Advisor	210 W. 10th Street, 8th Floor	Kansas City	MO	64121	DST Systems, Inc.	0C00000813	431581814						Old Mutual Funds II	0C00001JCO	232957927	44643 South Ulster Street	Suite 600	Denver	Colorado	80237-80237	
Old Mutual Heiman REIT A	ORRTX	Old Mutual Advisor	0C00001Z20	042714625	Old Mutual Advisor	210 W. 10th Street, 8th Floor	Kansas City	MO	64121	DST Systems, Inc.	0C00000813	431581814						Old Mutual Funds II	0C00001JCO	232957927	44643 South Ulster Street	Suite 600	Denver	Colorado	80237-80237	
Old Mutual T&W Mid-Cap Value I	OTMIX	Old Mutual Advisor	0C00001Z20	042714625	Old Mutual Advisor	210 W. 10th Street, 8th Floor	Kansas City	MO	64121	DST Systems, Inc.	0C00000813	431581814						Old Mutual Funds II	0C00001JCO	232957927	44643 South Ulster Street	Suite 600	Denver	Colorado	80237-80237	
Old Mutual T&W Small Cap Value Z	OSMVX	Old Mutual Advisor	0C00001Z20	042714625	Old Mutual Advisor	210 W. 10th Street, 8th Floor	Kansas City	MO	64121	DST Systems, Inc.	0C00000813	431581814						Old Mutual Funds II	0C00001JCO	232957927	44643 South Ulster Street	Suite 600	Denver	Colorado	80237-80237	
Old Westbury Fixed Income	OWFIX	Old Westbury	0C00001Y1T	232874698	Old Westbury	630 5th Ave	New York	NY	10111	Pnc Global Investmtr Svcs (u.s.) Inc.	0C00001TKR	042871943	Pnc Global Investmtr Svcs (u.s.) Inc.	P. O. Box 5270	King Of Prussia	PA	19406	Old Westbury Funds Inc	0C00001JCS	232874698	7760 Moore Road		King Of Prussia	Pennsylvania	18406-18406	
Oppenheimer Active Alloc N	OAAIX	OppenheimerFunds	0C00001Y2F	132527171	OppenheimerFunds	225 Liberty Street	New York	NY	10281	OppenheimerFunds Services	0C000034NG	132527171	OppenheimerFunds Services	P. O. Box 5270	colorado	Colorado	80217	OppenheimerFunds, Inc	0C00001QAM	132527171	TWO WORLD FINANCIAL CENTER		NEW YORK	NY	80112-3924	
Oppenheimer Balanced A	OPASX	OppenheimerFunds	0C00001Y2F	132527171	OppenheimerFunds	225 Liberty Street	New York	NY	10281	OppenheimerFunds Services	0C000034NG	132527171	OppenheimerFunds Services	P. O. Box 5270	colorado	Colorado	80217	Oppenheimer Balanced Fund	0C00001JZC	133395850	66803 South Tucson Way	N/a	Centennial	Colorado	80112-3924	
Oppenheimer Capital Appreciation A	OPTFX	OppenheimerFunds	0C00001Y2F	132527171	OppenheimerFunds	225 Liberty Street	New York	NY	10281	OppenheimerFunds Services	0C000034NG	132527171	OppenheimerFunds Services	P. O. Box 5270	colorado	Colorado	80217	Oppenheimer Capital Appreciation Fund	0C00001JD5	133054122	66803 South Tucson Way	N/a	Centennial	Colorado	80112-3924	
Oppenheimer Capital Appreciation N	OTCNX	OppenheimerFunds	0C00001Y2F	132527171	OppenheimerFunds	225 Liberty Street	New York	NY	10281	OppenheimerFunds Services	0C000034NG	132527171	OppenheimerFunds Services	P. O. Box 5270	colorado	Colorado	80217	Oppenheimer Capital Appreciation Fund	0C00001JD5	133054122	66803 South Tucson Way	N/a	Centennial	Colorado	80112-3924	
Oppenheimer Capital Appreciation Y	OTCYX	OppenheimerFunds	0C00001Y2F	132527171	OppenheimerFunds	225 Liberty Street	New York	NY	10281	OppenheimerFunds Services	0C000034NG	132527171	OppenheimerFunds Services	P. O. Box 5270	colorado	Colorado	80217	Oppenheimer Capital Appreciation Fund	0C00001JD5	133054122	66803 South Tucson Way	N/a	Centennial	Colorado	80112-3924	
Oppenheimer Capital Income A	OPPEX	OppenheimerFunds	0C00001Y2F	132527171	OppenheimerFunds	225 Liberty Street	New York	NY	10281	OppenheimerFunds Services	0C000034NG	132527171	OppenheimerFunds Services	P. O. Box 5270	colorado	Colorado	80217	Oppenheimer Capital Income Fund	0C00001JD6	840578481	66803 South Tucson Way	N/a	Centennial	Colorado	80112-3924	
Oppenheimer Champion Income A	OPCHX	OppenheimerFunds	0C00001Y2F	132527171	OppenheimerFunds	225 Liberty Street	New York	NY	10281	OppenheimerFunds Services	0C000034NG	132527171	OppenheimerFunds Services	P. O. Box 5270	colorado	Colorado	80217	Oppenheimer Champion Income Fund	0C00001JD9	222824358	66803 South Tucson Way	N/a	Centennial	Colorado	80112-3924	
Oppenheimer Commodity Strat Total Ret A	ORAXX	OppenheimerFunds	0C00001Y2F	132527171	OppenheimerFunds	225 Liberty Street	New York	NY	10281	OppenheimerFunds, Inc	0C00001QAM	132527171	TWO WORLD FINANCIAL CENTER		NEW YORK	NY		Oppenheimer Commodity Stra Total Retm Fd	0C00001JDA	846297469	66803 South Tucson Way	N/a	Centennial	Colorado	80112-3924	
Oppenheimer Conservative Inv N	ONCIX	OppenheimerFunds	0C00001Y2F	132527171	OppenheimerFunds	225 Liberty Street	New York	NY	10281	OppenheimerFunds Services	0C000034NG	132527171	OppenheimerFunds Services	P. O. Box 5270	colorado	Colorado	80217	Oppenheimer Portfolio Series	0C00001JE7	135657518	66803 South Tucson Way	N/a	Centennial	Colorado	80112-3924	
Oppenheimer Core Bond A	OPIGX	OppenheimerFunds	0C00001Y2F	132527171	OppenheimerFunds	225 Liberty Street	New York	NY	10281	OppenheimerFunds Services	0C000034NG	132527171	OppenheimerFunds Services	P. O. Box 5270	colorado	Colorado	80217	Oppenheimer Integrity Funds	0C00001JDR	042509354	66803 South Tucson Way	N/a	Centennial	Colorado	80112-3924	
Oppenheimer Developing Markets A	ODMAX	OppenheimerFunds	0C00001Y2F	132527171	OppenheimerFunds	225 Liberty Street	New York	NY	10281	OppenheimerFunds Services	0C000034NG	132527171	OppenheimerFunds Services	P. O. Box 5270	colorado	Colorado	80217	Oppenheimer Developing Markets Fund	0C00001JDB	936305075	66803 South Tucson Way	N/a	Centennial	Colorado	80112-3924	
Oppenheimer Developing Markets N	ODVNX	OppenheimerFunds	0C00001Y2F	132527171	OppenheimerFunds	225 Liberty Street	New York	NY	10281	OppenheimerFunds Services	0C000034NG	132527171	OppenheimerFunds Services	P. O. Box 5270	colorado	Colorado	80217	Oppenheimer Developing Markets Fund	0C00001JDB	936305075	66803 South Tucson Way	N/a	Centennial	Colorado	80112-3924	
Oppenheimer Discovery A	OPOCX	OppenheimerFunds	0C00001Y2F	132527171	OppenheimerFunds	225 Liberty Street	New York	NY	10281	OppenheimerFunds Services	0C000034NG	132527171	OppenheimerFunds Services	P. O. Box 5270	colorado	Colorado	80217	Oppenheimer Discovery Fund	0C00001JDC	222725700	66803 South Tucson Way	N/a	Centennial	Colorado	80112-3924	
Oppenheimer Equity A	OEQAX	OppenheimerFunds	0C00001Y2F	132527171																						

Fund Legal Name	Ticker	Provider Company Name	Provider Company ID	Provider Company EIN	Provider Company Address Line1	Provider Company Address Line2	City	State	Zip	Transfer Agent Company Name	Transfer Agent Company ID	Transfer Agent Company EIN	Transfer Agent Company Address Line1	Transfer Agent Company Address Line2	City	State	Zip	Registration Company Name	Registration Company ID	Registration Company EIN	Registration Company Address Line1	Registration Company Address Line2	City	State	Zip	
PowerShares Global Progressive Transport	PTPR	PowerShares	0C000023YB	760528004	PowerShares	301 West Roosevelt Road	wheaton	IL	60187	Bank of New York Mellon CORP	0C000006UA	132614959	One Wall Street		New York	NY	10286	PowerShares Exchange-Traded Fund Tr II	0C00001JIF	980557567	3301 West Roosevelt Road		Wheaton	Illinois	60187	
Principal Shares & Mgt Securities C	PBMCX	Principal Funds	0C00001Z52	42120346	Principal Funds	30 Dan Road	Canton	MA	02021	Principal Shareholder Services, Inc	0C00001QPS		Principal Shareholder Services, Inc		Seattle	WA	98101	Principal Funds Inc	0C00001JIT	911801401	Formerly Principal Investors Fund, Inc.		Principal Financial Group 711 High St	Des Moines	Iowa	50392
Principal MidCap S&P 400 Index R4	PFMFX	Principal Funds	0C00001Z52	42120346	Principal Funds	30 Dan Road	Canton	MA	02021	Principal Shareholder Services, Inc	0C00001QPS		Principal Shareholder Services, Inc		Seattle	WA	98101	Principal Funds Inc	0C00001JIT	911801401	Formerly Principal Investors Fund, Inc.		Principal Financial Group 711 High St	Des Moines	Iowa	50392
Profit Funds Investment	PVALX	Profit Funds Investment	0C00001YFZ	316537577	Profit Funds Investment	8401 Coleville Road, Suite 32C	Silver Spring	MD	20912	Ullimus Fund Solutions LLC	0C00001SCW	311663251	Ullimus Fund Solutions LLC	225 Pictoria Drive, Suite 450	Cincinnati	OH	45246	Profit Funds Investment Trust	0C00001JHH	316537577	C/O Ullimus Fund Solutions, LLC	2225 Pictoria Drive, Suite 450	Cincinnati	Ohio	45246	
Prudential Global Total Return C	PGTIX	Prudential Investments	0C00001Y24	223703799	Prudential Investments	PO BOX 9655	Boston	MA	02266	Prudential Mutual Fund Services LLC	0C00001QSQ	223703799	Gateway Center Three	100 Mulberry Street	Newark	NJ	07102	Prudential Global Total Return Fund Inc	0C00001JIB	132631108	1100 Mulberry Street		Boston	New Jersey	7102	
Prudential International Value C	PCISX	Prudential Investments	0C00001Y24	223703799	Prudential Investments	PO BOX 9655	Providence	RI	02940	Prudential Mutual Fund Services LLC	0C00001QSQ	223703799	Gateway Center Three	100 Mulberry Street	Newark	NJ	07102	Prudential World Fund, Inc.	0C00001JKS	133204887	Three Gateway Center, 4th Floor		1100 Mulberry Street	Newark	New Jersey	7102
Prudential Jensen 20/20 Focus A	PTWAX	Prudential Investments	0C00001Y24	223703799	JennisonDryden	PO BOX 9655	Providence	RI	02940	Prudential Mutual Fund Services LLC	0C00001QSQ	223703799	Gateway Center Three	100 Mulberry Street	Newark	NJ	07102	Jennison 20/20 Focus Fund	0C00001IUB	132631108	Gateway Center 3, 4th Floor		1100 Mulberry Street	Newark	New Jersey	4077
Prudential Jensen Equity Opp A	PTJAX	Prudential Investments	0C00001Y24	223703799	JennisonDryden	PO BOX 9655	Providence	RI	02940	Prudential Mutual Fund Services LLC	0C00001QSQ	223703799	Gateway Center Three	100 Mulberry Street	Newark	NJ	07102	Prudential Investment Portfolios, Inc.	0C00001JLJ	133851144	Gateway Center Three, 4th Floor		1100 Mulberry Street	Newark	New Jersey	7102
Prudential Jensen Growth A	PJFAX	Prudential Investments	0C00001Y24	223703799	JennisonDryden	PO BOX 9655	Providence	RI	02940	Prudential Mutual Fund Services LLC	0C00001QSQ	223703799	Gateway Center Three	100 Mulberry Street	Newark	NJ	07102	Prudential Investment Portfolios, Inc.	0C00001JLJ	133851144	Gateway Center Three, 4th Floor		1100 Mulberry Street	Newark	New Jersey	7102
Prudential Jensen Mid Cap Growth A	PEEAX	Prudential Investments	0C00001Y24	223703799	JennisonDryden	PO BOX 9655	Providence	RI	02940	Prudential Mutual Fund Services LLC	0C00001QSQ	223703799	Gateway Center Three	100 Mulberry Street	Newark	NJ	07102	Prudential Investment Portfolios, Inc.	0C00001JLJ	133851144	Gateway Center Three, 4th Floor		1100 Mulberry Street	Newark	New Jersey	7102
Prudential Jensen Mid Cap Growth R	DEEAX	Prudential Investments	0C00001Y24	223703799	JennisonDryden	PO BOX 9655	Providence	RI	02940	Prudential Mutual Fund Services LLC	0C00001QSQ	223703799	Gateway Center Three	100 Mulberry Street	Newark	NJ	07102	Jennison Mid-cap Growth Fd, Inc.	0C00001IUD	132631108	Gateway Center Three, 4th Floor		1100 Mulberry Street	Newark	New Jersey	7102
Prudential Jensen Mid Cap Growth Z	PEGZ	Prudential Investments	0C00001Y24	223703799	JennisonDryden	PO BOX 9655	Providence	RI	02940	Prudential Mutual Fund Services LLC	0C00001QSQ	223703799	Gateway Center Three	100 Mulberry Street	Newark	NJ	07102	Jennison Mid-cap Growth Fd, Inc.	0C00001IUD	132631108	Gateway Center Three, 4th Floor		1100 Mulberry Street	Newark	New Jersey	7102
Prudential Jensen Natural Resources Z	PNRZ	Prudential Investments	0C00001Y24	223703799	JennisonDryden	PO BOX 9655	Providence	RI	02940	Prudential Mutual Fund Services LLC	0C00001QSQ	223703799	Gateway Center Three	100 Mulberry Street	Newark	NJ	07102	Jennison Natural Res Fund Inc	0C00001IUE	133422833	1100 Mulberry Street		1100 Mulberry Street	Newark	New Jersey	7102
Prudential Jensen Small Company Z	PSGAX	Prudential Investments	0C00001Y24	223703799	JennisonDryden	PO BOX 9655	Providence	RI	02940	Prudential Mutual Fund Services LLC	0C00001QSQ	223703799	Gateway Center Three	100 Mulberry Street	Newark	NJ	07102	Prudential Jensen Small CO Fund, Inc.	0C00001IUF	133040042	Gateway Center Three, 4th Floor		1100 Mulberry Street	Newark	New Jersey	7102
Prudential Jensen Small Company Z	PSGAX	Prudential Investments	0C00001Y24	223703799	JennisonDryden	PO BOX 9655	Providence	RI	02940	Prudential Mutual Fund Services LLC	0C00001QSQ	223703799	Gateway Center Three	100 Mulberry Street	Newark	NJ	07102	Prudential Jensen Small CO Fund, Inc.	0C00001IUF	133040042	Gateway Center Three, 4th Floor		1100 Mulberry Street	Newark	New Jersey	7102
Prudential Jensen Small Company Z	PSGAX	Prudential Investments	0C00001Y24	223703799	JennisonDryden	PO BOX 9655	Providence	RI	02940	Prudential Mutual Fund Services LLC	0C00001QSQ	223703799	Gateway Center Three	100 Mulberry Street	Newark	NJ	07102	Prudential Jensen Small CO Fund, Inc.	0C00001IUF	133040042	Gateway Center Three, 4th Floor		1100 Mulberry Street	Newark	New Jersey	7102
Prudential Large Cap Core Equity Z	PTFZX	Prudential Investments	0C00001Y24	223703799	JennisonDryden	PO BOX 9655	Providence	RI	02940	Prudential Mutual Fund Services LLC	0C00001QSQ	223703799	Gateway Center Three	100 Mulberry Street	Newark	NJ	07102	Dryden Tax-managed Funds	0C00001IDL	223468527	Gateway Center 3 4th Floor		1100 Mulberry St	Newark	New Jersey	4077
Prudential Small Cap Value A	PZVAX	Prudential Investments	0C00001Y24	223703799	JennisonDryden	PO BOX 9655	Providence	RI	02940	Prudential Mutual Fund Services LLC	0C00001QSQ	223703799	Gateway Center Three	100 Mulberry Street	Newark	NJ	07102	Prudential Index Series Funds	0C00001ISB	061453806	Gateway Center Three, 4th Floor		1100 Mulberry Street	Newark	New Jersey	7102
Prudential Stock Index I	PDSIX	Prudential Investments	0C00001Y24	223703799	JennisonDryden	PO BOX 9655	Providence	RI	02940	Prudential Mutual Fund Services LLC	0C00001QSQ	223703799	Gateway Center Three	100 Mulberry Street	Newark	NJ	07102	Prudential Index Series Funds	0C00001ISB	061453806	Gateway Center Three, 4th Floor		1100 Mulberry Street	Newark	New Jersey	4077
Putnam Equity Income A	PEYAX	Putnam	0C00002K0D	364488942	Putnam	PO BOX 9655	Providence	RI	02940	Prudential Mutual Fund Services LLC	0C00001QSQ	223703799	Gateway Center Three	100 Mulberry Street	Newark	NJ	07102	Prudential Total Return Bond Fund	0C00001IDM	223468527	1100 Mulberry Street		Newark	New Jersey	7102	
Putnam Growth Opportunities A	PGOAX	Putnam	0C00002K0D	364488942	Putnam	P.O. Box 8383	Boston	MA	02266	Putnam Investor Services Inc	0C00001QSZ	042539562	Putnam Investor Services	P.O. Box 41203	Providence	RI	02940	Putnam Equity Income Fund	0C00001JLQ	046617893	One Post Office Sq		Mailstop A 14	Boston	Massachusetts	2109
Putnam International Capital Opp A	PNVAX	Putnam	0C00002K0D	364488942	Putnam	P.O. Box 8383	Boston	MA	02266	Putnam Investor Services Inc	0C00001QSZ	042539562	Putnam Investor Services	P.O. Box 41203	Providence	RI	02940	Putnam Investment Funds	0C00001JLI	043251560	One Post Office Square		Mail Stop A 14	Boston	Massachusetts	2109
Putnam International Equity A	POVAX	Putnam	0C00002K0D	364488942	Putnam	P.O. Box 8383	Boston	MA	02266	Putnam Investor Services Inc	0C00001QSZ	042539562	Putnam Investor Services	P.O. Box 41203	Providence	RI	02940	Putnam International Equity Fund	0C00001JLO	046661045	One Post Office Sq		Mail Stop A 14	Boston	Massachusetts	2109
Putnam International Equity Y	POVYX	Putnam	0C00002K0D	364488942	Putnam	P.O. Box 8383	Boston	MA	02266	Putnam Investor Services Inc	0C00001QSZ	042539562	Putnam Investor Services	P.O. Box 41203	Providence	RI	02940	Putnam International Equity Fund	0C00001JLO	046661045	One Post Office Sq		Mail Stop A 14	Boston	Massachusetts	2109
Putnam Investors	PNOPX	Putnam	0C00002K0D	364488942	Putnam	P.O. Box 8383	Boston	MA	02266	Putnam Investor Services Inc	0C00001QSZ	042539562	Putnam Investor Services	P.O. Box 41203	Providence	RI	02940	Putnam Investors	0C00001JLG	043091455	One Post Office Square		Boston Ontario	Canada	2109	
Putnam Research A	PNRAX	Putnam	0C00002K0D	364488942	Putnam	P.O. Box 8383	Boston	MA	02266	Putnam Investor Services Inc	0C00001QSZ	042539562	Putnam Investor Services	P.O. Box 41203	Providence	RI	02940	Putnam Investment Funds	0C00001JLI	043251560	One Post Office Square		Mail Stop A 14	Boston	Massachusetts	2109
Putnam Vista A	PVIXX	Putnam	0C00002K0D	364488942	Putnam	P.O. Box 8383	Boston	MA	02266	Putnam Investor Services Inc	0C00001QSZ	042539562	Putnam Investor Services	P.O. Box 41203	Providence	RI	02940	Putnam Vista Fund	0C00001JLZ	042430137	One Post Office Sq		Boston	Massachusetts	2109	
Putnam Voyager A	PVOYX	Putnam	0C00002K0D	364488942	Putnam	P.O. Box 8383	Boston	MA	02266	Putnam Investor Services Inc	0C00001QSZ	042539562	Putnam Investor Services	P.O. Box 41203	Providence	RI	02940	Putnam Voyager Fund	0C00001JMO	046181725	One Post Office Sq		Boston	Massachusetts	2109	
Rainier Intermediate Fixed-Income	RIFIX	Rainier	0C00004ALL	911457076	Rainier	615 E. Michigan Street, 3rd Floor	Milwaukee	WI	53202	U.S. Bancorp Fund Services, LLC	0C00001SA9	390281260	U.S. Bancorp Fund Services, LLC		Milwaukee	WI	53202	Rainier Investment Mgt Mutual Funds	0C00001JMS	916385563	6601 Union Street Suite 2801		Seattle	Washington	98101	
Rainier Large Cap Equity	RALEX	Rainier	0C00004ALL	911457076	Rainier	615 E. Michigan Street, 3rd Floor	Milwaukee	WI	53202	U.S. Bancorp Fund Services, LLC	0C00001SA9	390281260	U.S. Bancorp Fund Services, LLC		Milwaukee	WI	53202	Rainier Investment Mgt Mutual Funds	0C00001JMS	916385563	6601 Union Street Suite 2801		Seattle	Washington	98101	
Rainier Large Mid Cap Equity Inst	RAIEX	Rainier	0C00004ALL	911457076	Rainier	615 E. Michigan Street, 3rd Floor	Milwaukee	WI	53202	U.S. Bancorp Fund Services, LLC	0C00001SA9	390281260	U.S. Bancorp Fund Services, LLC		Milwaukee	WI	53202	Rainier Investment Mgt Mutual Funds	0C00001JMS	916385563	6601 Union Street Suite 2801		Seattle	Washington	98101	
Rainier Small Mid Cap Equity	RMISX	Rainier	0C00004ALL	911457076	Rainier	615 E. Michigan Street, 3rd Floor	Milwaukee	WI	53202	U.S. Bancorp Fund Services, LLC	0C00001SA9	390281260	U.S. Bancorp Fund Services, LLC		Milwaukee	WI	53202	Rainier Investment Mgt Mutual Funds	0C00001JMS	916385563	6601 Union Street Suite 2801		Seattle	Washington	98101	
Rainier Small Mid Cap Equity Inst	RMSIX	Rainier	0C00004ALL	911457076	Rainier	615 E. Michigan Street, 3rd Floor	Milwaukee	WI	53202	U.S. Bancorp Fund Services, LLC	0C00001SA9	390281260	U.S. Bancorp Fund Services, LLC		Milwaukee	WI	53202	Rainier Investment Mgt Mutual Funds	0C00001JMS	916385563	6601 Union Street Suite 2801		Seattle	Washington	98101	
RBC Enterprise A	TETAX	RBC	0C000010WT	540990960	RBC	New York	NY	10022	U.S. Bancorp Fund Services, LLC	0C00004BFM			U.S. Bancorp Fund Services, LLC	615 East Michigan Street	Milwaukee	WI	53202	RBC Funds	0C0000235X	133909847	RBC Funds		100 South Fifth Street, Suite 2300	Minneapolis	MN	55402
RBC Enterprise S	TETSX	RBC	0C000010WT	540990960	RBC	New York	NY	10022	U.S. Bancorp Fund Services, LLC	0C00004BFM			U.S. Bancorp Fund Services, LLC	615 East Michigan Street	Milwaukee	WI	53202	RBC Funds	0C0000235X	133909847	RBC Funds		100 South Fifth Street, Suite 2300	Minneapolis	MN	55402
RBC Midcap Value S	TMVIX	RBC	0C000010WT	540990960	RBC	New York	NY	10022	U.S. Bancorp Fund Services, LLC	0C00004BFM			U.S. Bancorp Fund Services, LLC	615 East Michigan Street	Milwaukee	WI	53202	RBC Funds	0C0000235X	133909847	RBC Funds		100 South Fifth Street, Suite 2300	Minneapolis	MN	55402
RidgeWorth Large Cap Value Equity A	RWVAX	RidgeWorth	0C00001Z4I	581604573	RidgeWorth	33435 Stelzer Road	Columbus	OH	43219	Cit Fund Services Ohio, Inc.	0C00001M8C	131249295	Cit Fund Services Ohio, Inc.		Columbus	OH	43219	RidgeWorth Funds	0C00001JML	232678674	33435 Stelzer Rd		Columbus	Ohio	43219	
RidgeWorth Mid-Cap Value Equity A	SAMVX	RidgeWorth	0C00001Z4I	581604573	RidgeWorth	33435 Stelzer Road	Columbus	OH	43219	Cit Fund Services Ohio, Inc.	0C00001M8C	131249295	Cit Fund Services Ohio, Inc.		Columbus	OH	43219	RidgeWorth Funds	0C00001JML	232678674	33435 Stelzer Rd		Columbus	Ohio	43219	
RidgeWorth Small Cap Growth Stock I	SSCTX	RidgeWorth	0C00001Z4I	581604573	RidgeWorth	33435 Stelzer Road	Columbus	OH	43219	Cit Fund Services Ohio, Inc.	0C00001M8C	131249295	Cit Fund Services Ohio, Inc.		Columbus	OH	43219	RidgeWorth Funds	0C00001JML	232678674	33435 Stelzer Rd		Columbus	Ohio	43219	
RidgeWorth Small Cap Value Equity I	SCVEX	RidgeWorth	0C00001Z4I	581604573	RidgeWorth	33435 Stelzer Road	Columbus	OH	43219	Cit Fund Services Ohio, Inc.	0C00001M8C	131249295	Cit Fund Services Ohio, Inc.		Columbus	OH	43219	RidgeWorth Funds	0C00001JML	232678674	33435 Stelzer Rd		Columbus	Ohio	43219	
RidgeWorth Total Return Bond R	TRBAX	RidgeWorth	0C00001Z4I	581604573	RidgeWorth	33435 Stelzer Road	Columbus	OH	43219	Cit Fund Services Ohio, Inc.	0C00001M8C	131249295	Cit Fund Services Ohio, Inc.		Columbus</											

Fund Legal Name	Ticker	Provider Company Name	Provider Company Id	Provider Company EIN	Provider Company Address Line1	Provider Company Address Line2	City	State	Zip	Transfer Agent Company Name	Transfer Agent Company Id	Transfer Agent Company EIN	Transfer Agent Company Address Line1	Transfer Agent Company Address Line2	City	State	Zip	Registration Company Name	Registration Company Id	Registration Company EIN	Registration Company Address Line1	Registration Company Address Line2	City	State	Zip	
Templeton Global Bond A	TPNX	Franklin Templeton Investments	0C00004AKN	943167260	Franklin Templeton Investments	3355 Data Drive	Rancho Cordova	CA	95670	Franklin Templeton Investor Svcs LLC	0C000034SC	943167260	3344 Quality Dr		Rancho Cordova	CA	95670	Templeton Income Trust	0C00001JW5	596865210	5500 E Broward Blvd, Suite 2100		Fort Lauderdale	Florida	33394-3091	
Templeton Global Bond Adv	TGBAX	Franklin Templeton Investments	0C00004AKN	943167260	Franklin Templeton Investments	3355 Data Drive	Rancho Cordova	CA	95670	Franklin Templeton Investor Svcs LLC	0C000034SC	943167260	3344 Quality Dr		Rancho Cordova	CA	95670	Templeton Income Trust	0C00001JW5	596865210	5500 E Broward Blvd, Suite 2100		Fort Lauderdale	Florida	33394-3091	
Templeton Global Opportunities A	TEGOX	Franklin Templeton Investments	0C00004AKN	943167260	Franklin Templeton Investments	3355 Data Drive	Rancho Cordova	CA	95670	Franklin Templeton Investor Svcs LLC	0C000034SC	943167260	3344 Quality Dr		Rancho Cordova	CA	95670	Templeton Global Opportunities Trust	0C00001JW2	596937496	Broward Financial Centre		5500 East Broward Blvd, Ste 2100	Fort Lauderdale	Florida	33394-3091
Templeton Global Smaller Comp A	TEMGX	Franklin Templeton Investments	0C00004AKN	943167260	Franklin Templeton Investments	3355 Data Drive	Rancho Cordova	CA	95670	Franklin Templeton Investor Svcs LLC	0C000034SC	943167260	3344 Quality Dr		Rancho Cordova	CA	95670	Templeton Global Smaller Companies Fund	0C00001JW3	592098933	5500 East Broward Blvd		Suite 2100	Fort Lauderdale	Florida	33394-3091
Templeton Global Smaller Comp Ad	TGSAX	Franklin Templeton Investments	0C00004AKN	943167260	Franklin Templeton Investments	3355 Data Drive	Rancho Cordova	CA	95670	Franklin Templeton Investor Svcs LLC	0C000034SC	943167260	3344 Quality Dr		Rancho Cordova	CA	95670	Templeton Global Smaller Companies Fund	0C00001JW3	592098933	5500 East Broward Blvd		Suite 2100	Fort Lauderdale	Florida	33394-3091
Templeton Growth A	TEPLX	Franklin Templeton Investments	0C00004AKN	943167260	Franklin Templeton Investments	3355 Data Drive	Rancho Cordova	CA	95670	Franklin Templeton Investor Svcs LLC	0C000034SC	943167260	3344 Quality Dr		Rancho Cordova	CA	95670	Templeton Growth Fund Inc	0C00001JW4	592745039	Broward Financial Centre		5500 East Broward Blvd 13th Floor	Fort Lauderdale	Florida	33394-3091
Templeton Growth Adv	TGADX	Franklin Templeton Investments	0C00004AKN	943167260	Franklin Templeton Investments	3355 Data Drive	Rancho Cordova	CA	95670	Franklin Templeton Investor Svcs LLC	0C000034SC	943167260	3344 Quality Dr		Rancho Cordova	CA	95670	Templeton Growth Fund Inc	0C00001JW4	592745039	Broward Financial Centre		5500 East Broward Blvd 13th Floor	Fort Lauderdale	Florida	33394-3091
Templeton Growth R	TEGRX	Franklin Templeton Investments	0C00004AKN	943167260	Franklin Templeton Investments	3355 Data Drive	Rancho Cordova	CA	95670	Franklin Templeton Investor Svcs LLC	0C000034SC	943167260	3344 Quality Dr		Rancho Cordova	CA	95670	Templeton Growth Fund Inc	0C00001JW4	592745039	Broward Financial Centre		5500 East Broward Blvd 13th Floor	Fort Lauderdale	Florida	33394-3091
Templeton Instl Foreign Eq Ser Primary	TFEQX	Franklin Templeton Investments	0C00004AKN	943167260	Franklin Templeton Investments	3355 Data Drive	Rancho Cordova	CA	95670	Franklin Templeton Investor Svcs LLC	0C000034SC	943167260	3344 Quality Dr		Rancho Cordova	CA	95670	Templeton Institutional Funds	0C00001JW6	593020895	Broward Financial Centre		5500 East Broward Blvd Ste 2100	Fort Lauderdale	Florida	33394-3091
Templeton World A	TEMWX	Franklin Templeton Investments	0C00004AKN	943167260	Franklin Templeton Investments	3355 Data Drive	Rancho Cordova	CA	95670	Franklin Templeton Investor Svcs LLC	0C000034SC	943167260	3344 Quality Dr		Rancho Cordova	CA	95670	Templeton Funds	0C00001JW7	222169185	5500 East Broward Blvd Ste 2100		332nd Floor	Fort Lauderdale	Florida	33394-3091
Third Avenue Real Estate Value Instl C	TAREX	Franklin Templeton Investments	0C00001YUD	010699000	Third Avenue Funds	622 Third Avenue, 32nd Floor	New York	NY	10017	Pnc Global Investmtr Svrcng (u.s.) Inc.	0C00001TKR	042871943	Pnc Global Investmtr Svrcng (u.s.) Inc.		King Of Prussia	PA	19406	Third Avenue Trust	0C00001K8F	010699000	6622 Third Avenue		332nd Floor	New York	New York	10017
Third Avenue Small Cap Value Instl C	TASXC	Franklin Templeton Investments	0C00001YUD	010699000	Third Avenue Funds	622 Third Avenue, 32nd Floor	New York	NY	10017	Pnc Global Investmtr Svrcng (u.s.) Inc.	0C00001TKR	042871943	Pnc Global Investmtr Svrcng (u.s.) Inc.		King Of Prussia	PA	19406	Third Avenue Trust	0C00001K8F	010699000	6622 Third Avenue		332nd Floor	New York	New York	10017
Third Avenue Value Instl C	TAVFX	Franklin Templeton Investments	0C00001YUD	010699000	Third Avenue Funds	622 Third Avenue, 32nd Floor	New York	NY	10017	Pnc Global Investmtr Svrcng (u.s.) Inc.	0C00001TKR	042871943	Pnc Global Investmtr Svrcng (u.s.) Inc.		King Of Prussia	PA	19406	Third Avenue Trust	0C00001K8F	010699000	6622 Third Avenue		332nd Floor	New York	New York	10017
Thornburg Core Growth R3	THCRX	Thornburg	0C00004ALV	850301299	Thornburg Investment Management	2300 North Ridgeway Road	Santa Fe	NM	87506	Boston Financial Data Services, Inc	0C00003408	042526037	Boston Financial Data Services	P.O. Box 8480	Boston	MA	0480	Thornburg Investment Trust	0C00001K8G	061158764	1119 E Marcy St		Suite 202	Santa Fe	New Mexico	87501
Thornburg Core Growth R4	TCGRX	Thornburg	0C00004ALV	850301299	Thornburg Investment Management	2300 North Ridgeway Road	Santa Fe	NM	87506	Boston Financial Data Services, Inc	0C00003408	042526037	Boston Financial Data Services	P.O. Box 8480	Boston	MA	0480	Thornburg Investment Trust	0C00001K8G	061158764	1119 E Marcy St		Suite 202	Santa Fe	New Mexico	87501
Thornburg Core Growth R5	THGRX	Thornburg	0C00004ALV	850301299	Thornburg Investment Management	2300 North Ridgeway Road	Santa Fe	NM	87506	Boston Financial Data Services, Inc	0C00003408	042526037	Boston Financial Data Services	P.O. Box 8480	Boston	MA	0480	Thornburg Investment Trust	0C00001K8G	061158764	1119 E Marcy St		Suite 202	Santa Fe	New Mexico	87501
Thornburg International Value R3	THVFX	Thornburg	0C00004ALV	850301299	Thornburg Investment Management	2300 North Ridgeway Road	Santa Fe	NM	87506	Boston Financial Data Services, Inc	0C00003408	042526037	Boston Financial Data Services	P.O. Box 8480	Boston	MA	0480	Thornburg Investment Trust	0C00001K8G	061158764	1119 E Marcy St		Suite 202	Santa Fe	New Mexico	87501
Thornburg International Value R4	THVRX	Thornburg	0C00004ALV	850301299	Thornburg Investment Management	2300 North Ridgeway Road	Santa Fe	NM	87506	Boston Financial Data Services, Inc	0C00003408	042526037	Boston Financial Data Services	P.O. Box 8480	Boston	MA	0480	Thornburg Investment Trust	0C00001K8G	061158764	1119 E Marcy St		Suite 202	Santa Fe	New Mexico	87501
Thornburg International Value R5	THVFX	Thornburg	0C00004ALV	850301299	Thornburg Investment Management	2300 North Ridgeway Road	Santa Fe	NM	87506	Boston Financial Data Services, Inc	0C00003408	042526037	Boston Financial Data Services	P.O. Box 8480	Boston	MA	0480	Thornburg Investment Trust	0C00001K8G	061158764	1119 E Marcy St		Suite 202	Santa Fe	New Mexico	87501
Thornburg Investment Income Builder R5	TBMX	Thornburg	0C00004ALV	850301299	Thornburg Investment Management	2300 North Ridgeway Road	Santa Fe	NM	87506	Boston Financial Data Services, Inc	0C00003408	042526037	Boston Financial Data Services	P.O. Box 8480	Boston	MA	0480	Thornburg Investment Trust	0C00001K8G	061158764	1119 E Marcy St		Suite 202	Santa Fe	New Mexico	87501
Thornburg Value R5	TVRRX	Thornburg	0C00004ALV	850301299	Thornburg Investment Management	2300 North Ridgeway Road	Santa Fe	NM	87506	Boston Financial Data Services, Inc	0C00003408	042526037	Boston Financial Data Services	P.O. Box 8480	Boston	MA	0480	Thornburg Investment Trust	0C00001K8G	061158764	1119 E Marcy St		Suite 202	Santa Fe	New Mexico	87501
TIAA-CREF Bond Plus Retail	TCBPX	TIAA-CREF Mutual Funds	0C00001YVW	131624203	TIAA-CREF Mutual Funds	c/o State Street Bank P.O. Box 8009	Boston	MA	02266-8009	Boston Financial Data Service, Inc	0C000034NE	042526037	P.O. Box 8514	2 Heritage Drive	Boston	MA	02171	Tiaa-cref Funds	0C00001JWP	134055167	7730 Third Ave.			New York	New York	10017
TIAA-CREF Equity Index Instl	TIEX	TIAA-CREF Mutual Funds	0C00001YVW	131624203	TIAA-CREF Mutual Funds	c/o State Street Bank P.O. Box 8009	Boston	MA	02266-8009	Boston Financial Data Service, Inc	0C000034NE	042526037	P.O. Box 8514	2 Heritage Drive	Boston	MA	02171	Tiaa-cref Funds	0C00001JWP	134055167	7730 Third Ave.			New York	New York	10017
TIAA-CREF Equity Index R	TIQXR	TIAA-CREF Mutual Funds	0C00001YVW	131624203	TIAA-CREF Mutual Funds	c/o State Street Bank P.O. Box 8009	Boston	MA	02266-8009	Boston Financial Data Service, Inc	0C000034NE	042526037	P.O. Box 8514	2 Heritage Drive	Boston	MA	02171	Tiaa-cref Funds	0C00001JWP	134055167	7730 Third Ave.			New York	New York	10017
TIAA-CREF Growth & Income Instl	TIGRX	TIAA-CREF Mutual Funds	0C00001YVW	131624203	TIAA-CREF Mutual Funds	c/o State Street Bank P.O. Box 8009	Boston	MA	02266-8009	Boston Financial Data Service, Inc	0C000034NE	042526037	P.O. Box 8514	2 Heritage Drive	Boston	MA	02171	Tiaa-cref Funds	0C00001JWP	134055167	7730 Third Ave.			New York	New York	10017
TIAA-CREF Growth & Income Retail	TIIRX	TIAA-CREF Mutual Funds	0C00001YVW	131624203	TIAA-CREF Mutual Funds	c/o State Street Bank P.O. Box 8009	Boston	MA	02266-8009	Boston Financial Data Service, Inc	0C000034NE	042526037	P.O. Box 8514	2 Heritage Drive	Boston	MA	02171	Tiaa-cref Funds	0C00001JWP	134055167	7730 Third Ave.			New York	New York	10017
TIAA-CREF Growth & Income Retire	TRGIX	TIAA-CREF Mutual Funds	0C00001YVW	131624203	TIAA-CREF Mutual Funds	c/o State Street Bank P.O. Box 8009	Boston	MA	02266-8009	Boston Financial Data Service, Inc	0C000034NE	042526037	P.O. Box 8514	2 Heritage Drive	Boston	MA	02171	Tiaa-cref Funds	0C00001JWP	134055167	7730 Third Ave.			New York	New York	10017
TIAA-CREF International Eq Idx Instl	TCIEX	TIAA-CREF Mutual Funds	0C00001YVW	131624203	TIAA-CREF Mutual Funds	c/o State Street Bank P.O. Box 8009	Boston	MA	02266-8009	Boston Financial Data Service, Inc	0C000034NE	042526037	P.O. Box 8514	2 Heritage Drive	Boston	MA	02171	Tiaa-cref Funds	0C00001JWP	134055167	7730 Third Ave.			New York	New York	10017
TIAA-CREF Mid-Cap Value Instl	TMVX	TIAA-CREF Mutual Funds	0C00001YVW	131624203	TIAA-CREF Mutual Funds	c/o State Street Bank P.O. Box 8009	Boston	MA	02266-8009	Boston Financial Data Service, Inc	0C000034NE	042526037	P.O. Box 8514	2 Heritage Drive	Boston	MA	02171	Tiaa-cref Funds	0C00001JWP	134055167	7730 Third Ave.			New York	New York	10017
TIAA-CREF Mid-Cap Value Retail	TCMVX	TIAA-CREF Mutual Funds	0C00001YVW	131624203	TIAA-CREF Mutual Funds	c/o State Street Bank P.O. Box 8009	Boston	MA	02266-8009	Boston Financial Data Service, Inc	0C000034NE	042526037	P.O. Box 8514	2 Heritage Drive	Boston	MA	02171	Tiaa-cref Funds	0C00001JWP	134055167	7730 Third Ave.			New York	New York	10017
TIAA-CREF Mid-Cap Value Retire	TRVRX	TIAA-CREF Mutual Funds	0C00001YVW	131624203	TIAA-CREF Mutual Funds	c/o State Street Bank P.O. Box 8009	Boston	MA	02266-8009	Boston Financial Data Service, Inc	0C000034NE	042526037	P.O. Box 8514	2 Heritage Drive	Boston	MA	02171	Tiaa-cref Funds	0C00001JWP	134055167	7730 Third Ave.			New York	New York	10017
TIAA-CREF Real Estate Sec Instl	TIREX	TIAA-CREF Mutual Funds	0C00001YVW	131624203	TIAA-CREF Mutual Funds	c/o State Street Bank P.O. Box 8009	Boston	MA	02266-8009	Boston Financial Data Service, Inc	0C000034NE	042526037	P.O. Box 8514	2 Heritage Drive	Boston	MA	02171	Tiaa-cref Funds	0C00001JWP	134055167	7730 Third Ave.			New York	New York	10017
TIAA-CREF Small-Cap Blend Idx Instl	TISBX	TIAA-CREF Mutual Funds	0C00001YVW	131624203	TIAA-CREF Mutual Funds	c/o State Street Bank P.O. Box 8009	Boston	MA	02266-8009	Boston Financial Data Service, Inc	0C000034NE	042526037	P.O. Box 8514	2 Heritage Drive	Boston	MA	02171	Tiaa-cref Funds	0C00001JWP	134055167	7730 Third Ave.			New York	New York	10017
TIAA-CREF Social Choice Eq Instl	TISCX	TIAA-CREF Mutual Funds	0C00001YVW	131624203	TIAA-CREF Mutual Funds	c/o State Street Bank P.O. Box 8009	Boston	MA	02266-8009	Boston Financial Data Service, Inc	0C000034NE	042526037	P.O. Box 8514	2 Heritage Drive	Boston	MA	02171	Tiaa-cref Funds	0C00001JWP	134055167	7730 Third Ave.			New York	New York	10017
TimesSquare Mid Cap Growth Instl	TMIDX	Managers Funds	0C00001YS2	061296481	Managers Funds	161 Washington Street, Suite 1600	Conshohocken	PA	19428	Pnc Global Investmtr Svrcng (u.s.) Inc.	0C00001TKR	042871943	Pnc Global Investmtr Svrcng (u.s.) Inc.		King Of Prussia	PA	19406	Managers Amp Funds	0C00001ZC	061555943	880Avenue			Norwalk	Connecticut	6854
TimesSquare Mid Cap Growth Prem	TMDFX	Managers Funds	0C00001YS2	061296481	Managers Funds	161 Washington Street, Suite 1600	Conshohocken	PA	19428	Pnc Global Investmtr Svrcng (u.s.) Inc.	0C00001TKR	042871943	Pnc Global Investmtr Svrcng (u.s.) Inc.		King Of Prussia	PA	19406	Managers Amp Funds	0C00001ZC	061555943	880Avenue			Norwalk	Connecticut	6854
Timothy Plan Fixed-Income A	TFIAX	Timothy Plan	0C00001JWS	597016828	Timothy Plan	11055 Malland Center Commons	Malland	FL	32759	Unifled Fund Services IncA	0C00001SDG	351788663	2960 N. Meridian Street	Suite 300	Indianapolis	IN	46208	Timothy Plan	0C00001JWS	597016828	11055 Malland Center Commons			Malland	FL	32759
Timothy Plan Fixed-Income B	TFIBX	Timothy Plan	0C00001JWS	597016828	Timothy Plan	11055 Malland Center Commons	Malland	FL	32759	Unifled Fund Services IncA	0C00001SDG	351788663	2960 N. Meridian Street	Suite 300	Indianapolis	IN	46208	Timothy Plan	0C00001JWS	597016828	11055 Malland Center Commons			Malland	FL	32759
Torray Institutional	TORRX	Torray	0C00001YU4	52048142	Torray Funds	7501 Wisconsin Avenue, Suite 1100	Bethesda	MD	20814	Pnc Global Investmtr Svrcng (u.s.) Inc.	0C00001TKR	042871943	Pnc Global Investmtr Svrcng (u.s.) Inc.		King Of Prussia	PA	19406	Torray Fund	0C00001K8H	5						

